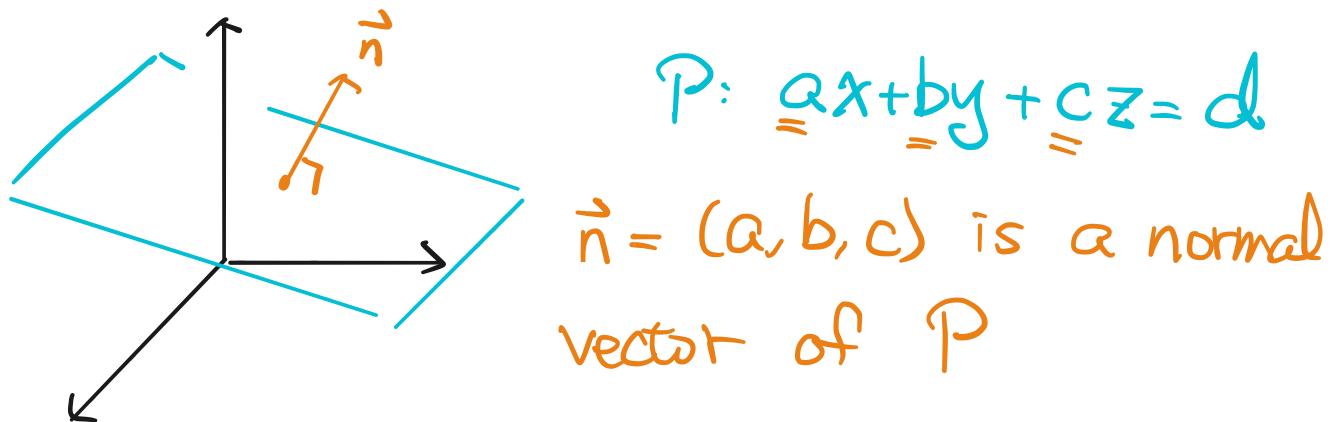
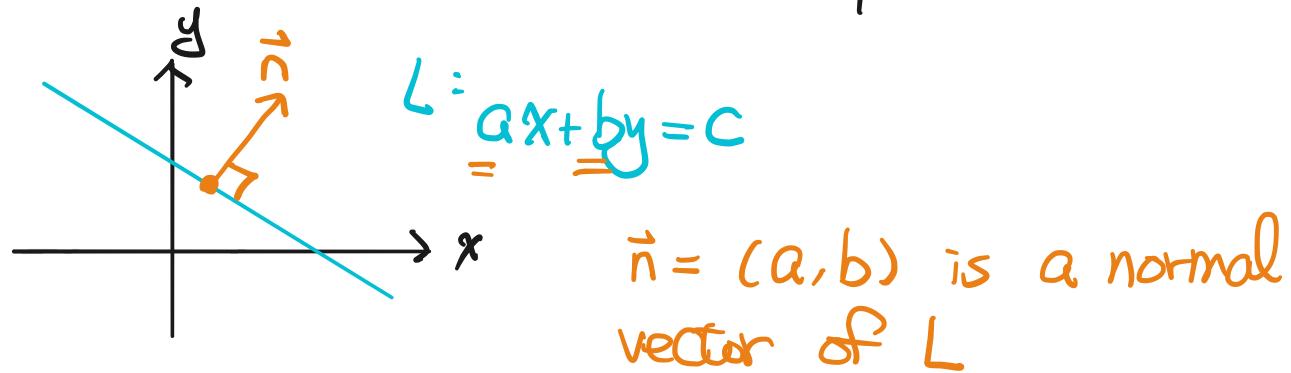


# Calculus, week 11, Spring 2025

## Recall

- Normal vectors of lines and planes



- Normal vectors of curves
- $C: f(x, y) = c$
- $\vec{r}(t) = (x(t), y(t))$
- $\vec{r}'(t) \cdot \vec{n} = \text{normal vector of } C$  at  $(x_0, y_0)$
- $\vec{r}'(0) = (x_0, y_0)$
- $\nabla f(x_0, y_0) = \left( \frac{\partial f}{\partial x}(x_0, y_0), \frac{\partial f}{\partial y}(x_0, y_0) \right) = (a, b)$

If  $C$  is parametrized by  $\vec{\gamma}(t) = (\gamma_1(t), \gamma_2(t))$  near  $(x_0, y_0) = \vec{\gamma}(0)$  and  $\underline{\vec{\gamma}'(0)} \neq \vec{0}$ , then

$$\vec{n} = \nabla f(x_0, y_0)$$

is a normal vector of  $C$  at  $(x_0, y_0)$

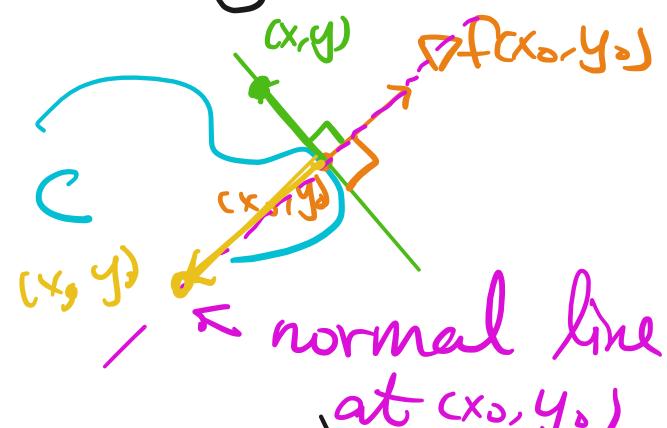
Thm (16.4.4, 16.4.5)

Suppose  $\nabla f(x_0, y_0) \neq 0$ . The tangent line of the curve

$$C: f(x, y) = c$$

at  $(x_0, y_0)$  is

$$\nabla f(x_0, y_0) \cdot ((x, y) - (x_0, y_0)) = 0$$



$$= \boxed{\frac{\partial f}{\partial x}(x_0, y_0) \cdot (x - x_0) + \frac{\partial f}{\partial y}(x_0, y_0) \cdot (y - y_0) = 0}$$

Furthermore, the normal line of  $C$  at  $(x_0, y_0)$ , i.e. the normal line of tangent line at  $(x_0, y_0)$ , is determined

$$\text{by } \frac{(x-x_0)}{\parallel} \cdot \frac{(y-y_0)}{\parallel} = \frac{\left(\frac{\partial f}{\partial x}(x_0, y_0)\right)}{\parallel} \cdot \frac{\left(\frac{\partial f}{\partial y}(x_0, y_0)\right)}{\parallel}$$

i.e.

$$\frac{\partial f}{\partial y}(x_0, y_0) \cdot (x-x_0) = \frac{\partial f}{\partial x}(x_0, y_0) \cdot (y-y_0)$$

$$r\vec{v} = \vec{w}$$

Remark

$$\vec{v} \parallel \vec{w} \iff \vec{v} = r \vec{w} \quad \text{for some } r \in \mathbb{R}$$

$$\text{or } \vec{w} = r \vec{v} \quad r \in \mathbb{R}$$

If  $\vec{w} = \vec{0}$ , then  $r \cdot \vec{0} = \vec{0}$   
 $\vec{v} \neq \vec{0}$   
 but  $\vec{0} \cdot \vec{v} = \vec{0} = \vec{w}$

Assume  $\vec{v} = (v_1, v_2)$   
 ~~$\vec{w} = (w_1, w_2)$~~

Then  $\vec{v} = r \vec{w} \iff v_1 = r w_1, v_2 = r w_2$  ①

$$\vec{w} = r \vec{v} \iff w_1 = r v_1, w_2 = r v_2 \quad ②$$

$$\Rightarrow v_1 \cdot w_2 =$$

①
 $r w_1 \cdot w_2$ 
 $= w_1 \cdot v_2$ 
 $v_1 \cdot r v_2$ 
 $= w_1 \cdot v_2$ 
 $v_1 \cdot v_2$ 
 $= w_1 \cdot v_2$

Example  $f(x, y)$

$$C: \underbrace{x^2 + y^2}_{} = 1$$

$$(x - \frac{1}{2}) + \sqrt{3}(y - \frac{\sqrt{3}}{2}) = 0$$

$$x^2 + y^2 = 1$$

$$(\frac{1}{2}, \frac{\sqrt{3}}{2}) = (x_0, y_0)$$

$$\vec{n} = \nabla f(x_0, y_0)$$

$$\sqrt{3}(x - \frac{1}{2}) = y - \frac{\sqrt{3}}{2}$$

$$= \left( \frac{\partial f}{\partial x}(x_0, y_0), \frac{\partial f}{\partial y}(x_0, y_0) \right)$$

$$= \underline{(2x_0, 2y_0)} \text{ is normal vector of } C$$

$$= (1, \sqrt{3}) \quad (\frac{1}{2}, \frac{\sqrt{3}}{2}) \text{ at } (x_0, y_0)$$

The tangent line of  $C$  at  $\underline{(x_0, y_0)}$  is

$$\left( (x, y) - (\frac{1}{2}, \frac{\sqrt{3}}{2}) \right) \cdot (1, \sqrt{3}) = 0$$

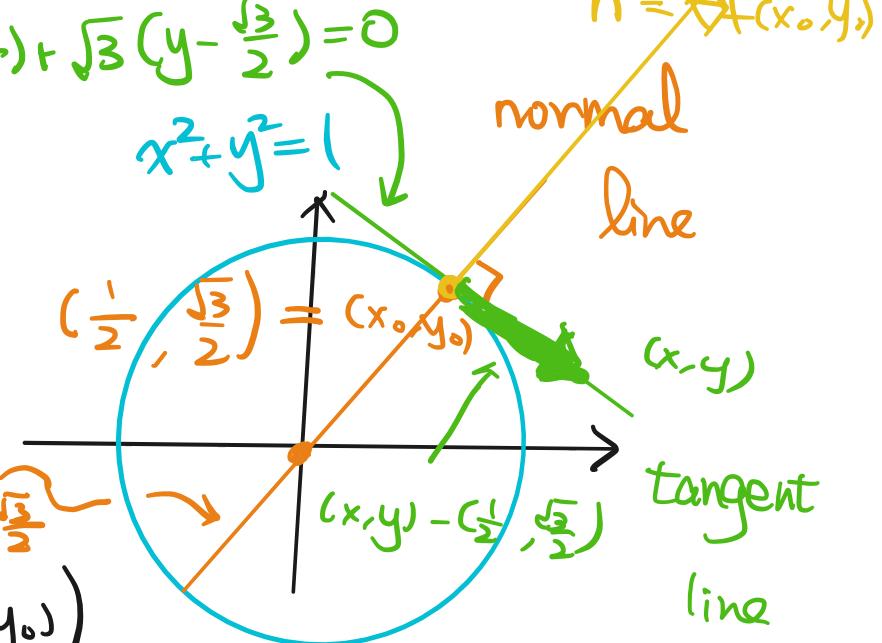
$$= (x - \frac{1}{2}) + \sqrt{3} \cdot (y - \frac{\sqrt{3}}{2}) = 0$$

The normal line of  $C$  at  $(\frac{1}{2}, \frac{\sqrt{3}}{2})$  is

$$\left( (x, y) - (\frac{1}{2}, \frac{\sqrt{3}}{2}) \right) \parallel (1, \sqrt{3})$$

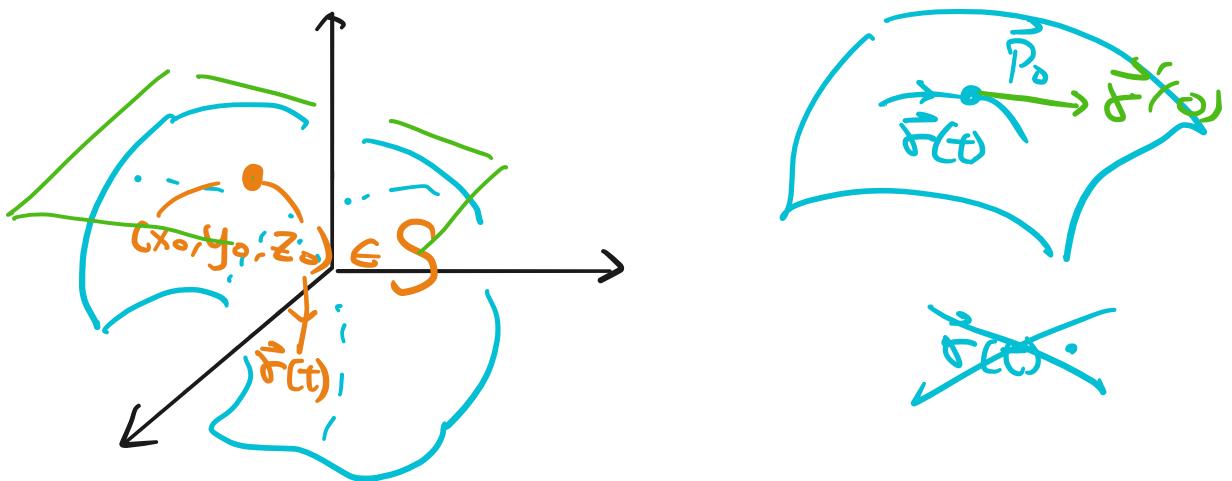
i.e.

$$\sqrt{3}(x - \frac{1}{2}) = 1 \cdot (y - \frac{\sqrt{3}}{2})$$



Surface case: Consider

$$S: f(x, y, z) = c$$

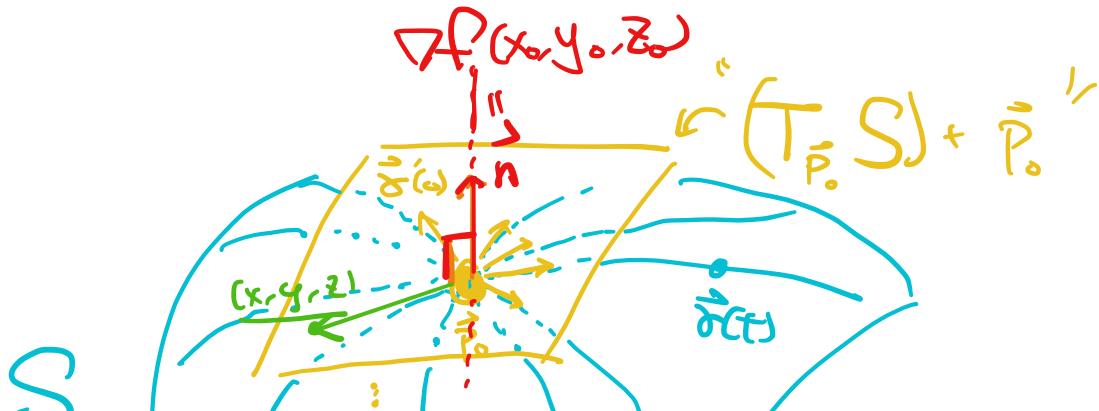


Assume  $\vec{P}_0 = (x_0, y_0, z_0) \in S$ ,  $\nabla f(x_0, y_0, z_0) \neq \vec{0}$

The tangent plane of  $S$  at  $(x_0, y_0, z_0)$

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$$T_{\vec{p}_0} S = \left\{ \begin{array}{c} \vec{\sigma}'(0) \\ \| \end{array} \middle| \begin{array}{l} \vec{\sigma}: \mathbb{R} \rightarrow S \text{ smooth} \\ \vec{\sigma}(0) = \vec{p}_0 = (x_0, y_0, z_0) \end{array} \right\}$$





Given any curve  $\vec{r}(t) = (r_1(t), r_2(t), r_3(t))$

in  $S$  with  $\vec{r}(0) = \vec{P}_0$ , we have

$$F(r_1(t), r_2(t), r_3(t)) = C$$

$\Rightarrow$

$$\left. \frac{d}{dt} F(r_1(t), r_2(t), r_3(t)) \right|_{t=0} = 0$$

||

$$\nabla F(\vec{r}(0)) \cdot \vec{r}'(0)$$

||

$$\nabla F(x_0, y_0, z_0) \cdot \vec{r}'(0)$$

Thm (16.4.8, 16.4.10)

Suppose  $\nabla F(x_0, y_0, z_0) \neq \vec{0}$ . The tangent plane of

$$S: F(x, y, z) = C$$

at  $(x_0, y_0, z_0)$  is determined by

$$\nabla F(x_0, y_0, z_0) \cdot (x - x_0, y - y_0, z - z_0) = 0$$
$$= \frac{\partial F}{\partial x}(x_0, y_0, z_0) \cdot (x - x_0) + \frac{\partial F}{\partial y}(x_0, y_0, z_0) \cdot (y - y_0) \\ + \frac{\partial F}{\partial z}(x_0, y_0, z_0) \cdot (z - z_0) = 0$$

Furthermore, the normal line (i.e. the normal line of the tangent plane) of  $S$  at  $(x_0, y_0, z_0)$  can be parametrized by

$$x = x_0 + t \cdot \frac{\partial F}{\partial x}(x_0, y_0, z_0) \quad \vec{p} = \vec{p}_0 + t \cdot \vec{n}$$

$$y = y_0 + t \cdot \frac{\partial F}{\partial y}(x_0, y_0, z_0)$$



$$z = z_0 + t \cdot \frac{\partial F}{\partial z}(x_0, y_0, z_0)$$

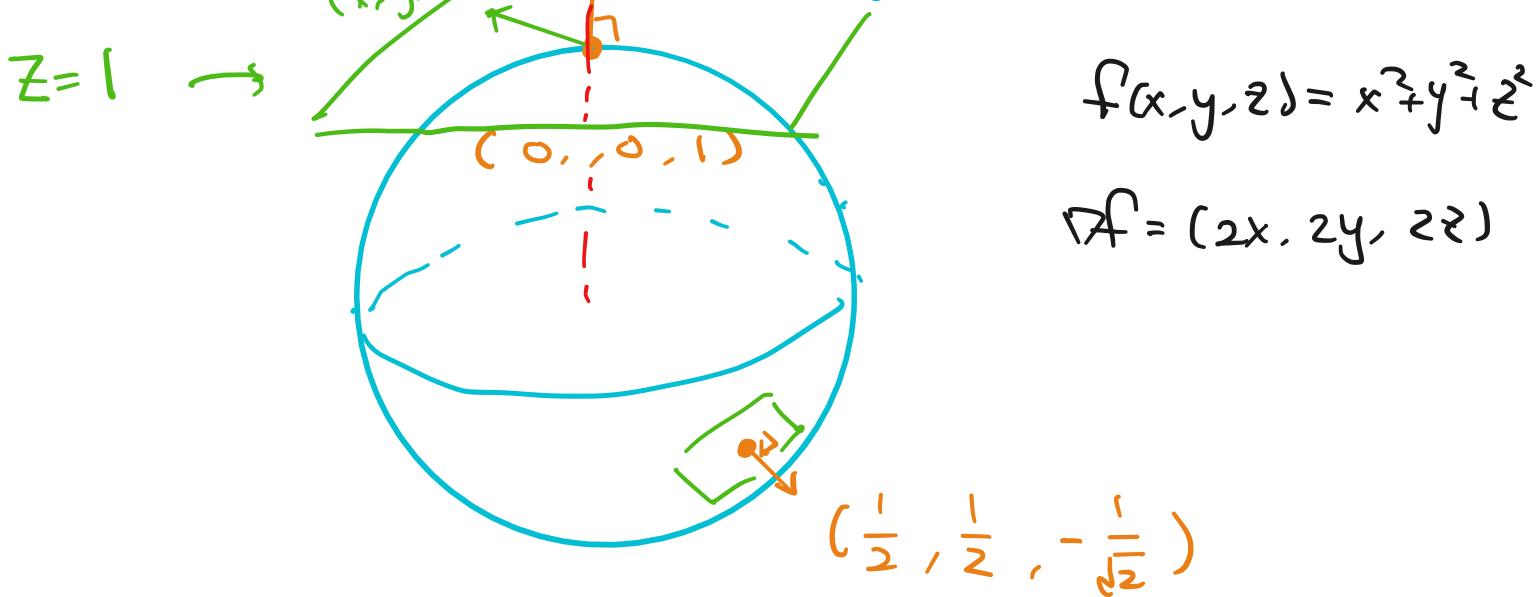
## Example

① Consider

$$S: x^2 + y^2 + z^2 = 1$$

$\bullet (0, 0, 1+2t) = z\text{-axis}$

A diagram of a sphere centered at the origin with radius 1, labeled  $x^2 + y^2 + z^2 = 1$ . A point  $(x, y, z)$  is marked on the sphere, and a vertical dashed line segment connects it to the z-axis, representing the normal vector  $\vec{n}$ .



$$f(x,y,z) = x^2 + y^2 + z^2$$

$$\nabla f = (2x, 2y, 2z)$$

(i)  $\vec{n} = \nabla f(0,0,1) = (0,0,2)$  is a normal vector of  $S$  at  $(0,0,1)$

The tangent plane of  $S$  at  $(0,0,1)$  is  $(0,0,2)$

$$(x-0, y-0, z-1) \cdot \nabla f(0,0,1) = 0$$

||

$$2(z-1) = 0$$

$$\text{i.e. } z = 1$$

The normal line of  $S$  at  $(0,0,1)$  is

$$\left\{ \begin{array}{l} x = 0 + t \cdot 0 = 0 \\ y = 0 + t \cdot 0 = 0 \end{array} \right.$$

$$\left\{ \begin{array}{l} y = 0 + t \cdot 0 = 0 \\ z = 1 + t \cdot 2 = 1 + 2t \end{array} \right.$$

$$\left\{ \begin{array}{l} x = 0 \\ y = 0 \\ z = 1 + 2t \end{array} \right.$$

## a parametrization of z-axis

(iii) At  $(\frac{1}{2}, \frac{1}{2}, -\frac{1}{\sqrt{2}})$ ,

$$\vec{n} = \nabla f(\frac{1}{2}, \frac{1}{2}, -\frac{1}{\sqrt{2}}) = (1, 1, -\sqrt{2})$$

is a normal vector of S at  $(\frac{1}{2}, \frac{1}{2}, -\frac{1}{\sqrt{2}})$

The tangent plane of S at  $(\frac{1}{2}, \frac{1}{2}, -\frac{1}{\sqrt{2}})$  is

$$(1, 1, -\sqrt{2}) \cdot (x - \frac{1}{2}, y - \frac{1}{2}, z + \frac{1}{\sqrt{2}}) = 0$$

i.e.

$$(x - \frac{1}{2}) + (y - \frac{1}{2}) - \sqrt{2}(z + \frac{1}{\sqrt{2}}) = 0$$

The normal line of S at  $(\frac{1}{2}, \frac{1}{2}, -\frac{1}{\sqrt{2}})$  is

$$\left\{ \begin{array}{l} x = \frac{1}{2} + t \cdot 1 \\ y = \frac{1}{2} + t \cdot 1 \\ z = -\frac{1}{\sqrt{2}} + t(-\sqrt{2}) \end{array} \right.$$

#

② At what point(s) of the surface

$$z = 3xy - x^3 - y^3 \Leftrightarrow \boxed{z + x^3 + y^3 - 3xy = 0}$$

$f(x, y, z)$

is the tangent plane horizontal?

水平的 i.e.

" $z = c$ "

Sol



Observation:

A plane is horizontal  $\Leftrightarrow$  its normal vector is parallel to  $(0, 0, 1)$

So we shall solve

arbitrary

$$\nabla f = (0, 0, \underline{1})$$

i.e. Slope  $f = z + x^3 + y^3 - 3xy$

$$\left\{ \begin{array}{l} \frac{\partial f}{\partial x} = 0 = 3x^2 - 3y = 3(x^2 - y) \\ \frac{\partial f}{\partial y} = 0 = 3y^2 - 3x = 3(y^2 - x) \end{array} \right.$$

$$\left\{ \begin{array}{l} x^2 = y \\ y^2 = x \end{array} \right. \Rightarrow \underbrace{x^4 - y^2}_{= x} = 0$$

$$\Rightarrow \left\{ \begin{array}{l} x^2 = y \\ y^2 = x \end{array} \right. \Rightarrow \underbrace{x^4 - x}_{= 0} = 0$$

$$x(x^3 - 1)$$

$$\Rightarrow x = 0, 1$$

$$(y = 0, 1)$$

So the tangent planes at

$$(0, 0, 0)$$

and

$$(1, 1, 1)$$

are horizontal. ~~#~~