

# Complex Analysis 3/28

Recall (Fundamental Thm of Alg, Thm 5.12)

Every nonconstant polynomial with complex coefficients has a zero in  $\mathbb{C}$ .

## Remark

① Recall that given polynomials  $f(z), g(z) \in \mathbb{C}[z]$ ,  
 $\exists! q(z), r(z) \in \mathbb{C}[z]$  st. ← division of poly

- $f(z) = q(z) \cdot g(z) + r(z)$

- $r(z) = 0$  or  $\deg(r) < \deg(g)$

We say  $g$  is a factor of  $f$ , denoted  $g \mid f$ , if  $r = 0$ .

②  $z - \alpha$  is a factor of a poly.  $f(z)$   
 $\Leftrightarrow f(\alpha) = 0$

③  $\alpha$  is called a zero of multiplicity  $k$  of  $f(z)$  if  $(z - \alpha)^k \mid f(z)$ ,  $(z - \alpha)^{k+1} \nmid f(z)$

$$\Leftrightarrow f(\alpha) = f'(\alpha) = \dots = f^{(k-1)}(\alpha) = 0, f^{(k)}(\alpha) \neq 0$$

(e.g.  $f(z) = z^2$ ,  $0$  is a zero of multiplicity 2  
 $f'(z) = 2z$ ,  $f''(z) = 2$ .  $f(0) = f'(0) = 0$ ,  $f''(0) = 2 \neq 0$ )

(4) Suppose

$$f(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0, \quad a_n \neq 0$$

By Thm 5.12,  $\exists z_1 \in \mathbb{C}$  s.t.  $f(z_1) = 0$

(2)  $\Rightarrow$   $f(z) = (z - z_1) g_1(z)$  for some  $g_1 \in \mathbb{C}[z]$

Apply Thm 5.12 to  $g_1(z)$  and  $g_j(z)$ :

deg:  $f(z) = (z - z_1) g_1(z)$   
 $= (z - z_1) (z - z_2) g_2(z)$  by comparing coefficients  
 $= \dots$   
 $= (z - z_1) (z - z_2) \dots (z - z_n) \cdot C$  "  
 $= a_n (z - \alpha_1)^{m_1} \dots (z - \alpha_k)^{m_k}$  ← combine same  $z_j$

Thus,

(i) a polynomial in  $\mathbb{C}[z]$  of degree  $n \geq 1$  has  $n$  zeros in  $\mathbb{C}$  counting multiplicities

(ii) by comparing coefficients, one can get relations between zeros and coefficients such as  $\sum_{k=1}^n z_k = -\frac{a_{n-1}}{a_n}$

(5) Recall that people extend  $\mathbb{R}$  to  $\mathbb{C}$  because  $x^2 + 1 = 0$  has NO zero in  $\mathbb{R}$ .

Thm 5.12  $\Rightarrow$  one **DONOT** need a further extension of  $\mathbb{C}$  when solving polynomial equations.

## Ch 6-7 Further properties of analytic functions

### Uniqueness of analytic functions

#### Recall

- (Thm 2.12) Let  $f(z) = \sum_{n=0}^{\infty} C_n z^n$ . If  $\exists$  nonzero seq  $\{z_k\}$  s.t.  $\textcircled{1} \lim_{k \rightarrow \infty} z_k = 0$   $\textcircled{2} f(z_k) = 0 \forall k$ , then  $f \equiv 0$
- (Thm 6.5) If  $f$  is analytic in  $D(\alpha; r)$ , then  $\exists C_n \in \mathbb{C}$  s.t.  $f(z) = \sum_{n=0}^{\infty} C_n (z-\alpha)^n \quad \forall z \in D(\alpha; r)$
- (Advanced Calculus) Let  $D$  be a connected set. If  $\textcircled{1} D = A \cup B$ ,  $\textcircled{2} A, B$  are open in  $D$ ,  $\textcircled{3} A \cap B = \emptyset$ , then  $\begin{cases} A = \emptyset \\ B = D \end{cases}$  or  $\begin{cases} A = D \\ B = \emptyset \end{cases}$ .



eg.  $D = [0, 1] \cup [2, 3] \Rightarrow D$  is NOT connected

$\underbrace{\quad}_{\text{open}} \quad \underbrace{\quad}_{\text{open}}$

Uniqueness Thm (Thm 6.4)

i.e. open set connected

Suppose <sup>①</sup>  $f$  is analytic in a region  $D$ .

and <sup>②</sup>  $f(z_n) = 0$  where  $\{z_n\}$  is a seq. of distinct points and <sup>③</sup>  $z_n \rightarrow z \in D$ .

Then  $f \equiv 0$  in  $D$ .

pf

Let

$$\begin{aligned} f(\lim_{n \rightarrow \infty} \omega_n) &= \lim_{n \rightarrow \infty} f(\omega_n) \\ &= \lim_{n \rightarrow \infty} 0 \\ &= 0 \end{aligned}$$

continuity of  $f \Rightarrow f(z) = 0$

$$A = \left\{ z \in D : \exists \text{ distinct } \omega_n \in D \text{ s.t. } \left. \begin{array}{l} f(\omega_n) = 0 \\ \omega_n \rightarrow z \end{array} \right\} \right.$$

$$B = D \setminus A$$

$$\text{Then } \textcircled{1} A \cup B = D, \quad A \cap B = \emptyset$$

$$\text{assumption } \Rightarrow A \neq \emptyset$$

$$\textcircled{2} \forall \alpha \in A \quad \exists \varepsilon > 0 \quad \exists c_n \in \mathbb{C} \text{ s.t.}$$

$$f(z) = \sum_{k=0}^{\infty} c_k (z - \alpha)^k \quad \forall z \in D(\alpha; \varepsilon) \subseteq D$$

By def of  $A$ ,  $\exists$  distinct  $\omega_n \in D$  s.t.

$$\omega_n \rightarrow \alpha \quad \text{and} \quad f(\omega_n) = 0$$

By the uniqueness thm of power series,

$$c_n = 0 \quad \forall n \quad \text{i.e., } f \equiv 0 \text{ in } D(\alpha; \varepsilon)$$

$\Rightarrow D(\alpha; \varepsilon) \subseteq A$

$$\bigcup (\alpha; \varepsilon) \subseteq A$$

$\Rightarrow A$  is open

③ Given  $\beta \in B$ , claim  $\exists \varepsilon > 0$  st.  $D(\beta; \varepsilon) \subseteq B$

If not,  $\forall \varepsilon > 0$ ,  $D(\beta; \varepsilon) - B \neq \emptyset$

$\Rightarrow \exists \omega_1 \in \underbrace{D(\beta; \varepsilon_0)}_{\leftarrow D(\beta; \varepsilon_0) \subseteq D} - B$

$\exists \omega_2 \in D(\beta; \frac{1}{2} |\omega_1 - \beta|) - B$

$\vdots$

$\exists \omega_{n+1} \in D(\beta; \frac{1}{2} |\omega_n - \beta|)$

$\vdots$

$\Rightarrow \omega_n \neq \omega_m \in D$  and  $\omega_n \rightarrow \beta$   
 $\forall n \neq m$

$\Rightarrow \beta \in A$  ( $\rightarrow \leftarrow$ )

So  $\exists \varepsilon > 0$  st.  $D(\beta; \varepsilon) \subseteq B \Rightarrow B$  is open.

Since  $D$  is connected, ① ~ ③  $\Rightarrow A = D$

$\Rightarrow f \equiv 0$  in  $D$   $\#$

if  $D = \{ \{ \} \}$   $\{ \{ \} \}$   
 we have  $f \equiv 0$   $\uparrow$   $\uparrow$   
 $\equiv 1$

### Cor 6.10

If two functions  $f$  and  $g$ , analytic in a region  $D$ , agree at a set of

points with an accumulation point in  $D$ ,  
then  $f \equiv g$  in  $D$

pf: Apply Thm 6.9 to  $f-g$  . #

### Remark

$\sin(1/z) = 0$  on the set  $\{ \frac{1}{n\pi} : n = \pm 1, \pm 2, \dots \}$   
which has an acc point  $0$  but  $\sin(1/z) \neq 0$ !!  
This can happen because  $\sin(1/z)$  is NOT  
analytic at  $0$

$\Rightarrow$  The hypotheses of Thm 6.9 are NOT satisfied

### Applications of uniqueness thm:

① Prove functional equations such as

$$e^{z_1 + z_2} = e^{z_1} \cdot e^{z_2}$$

pf

Given any fixed  $z_2 \in \mathbb{C}$ ,  $e^{z_1 + z_2}$  and  $e^{z_1} e^{z_2}$  are  
entire functions and

$$e^{x + z_2} = e^x e^{z_2} \quad \forall x \in \mathbb{R}$$

$x_2 + iy_2$   
 $e^{x + z_2} = e^{x + x_2 + iy_2} = e^{x + x_2} e^{iy_2} = e^x e^{x_2} (\cos y_2 + i \sin y_2)$

Since  $\mathbb{R}$  has an acc point in  $\mathbb{C}$ , we have

$$e^{z_1 + z_2} = e^{z_1} e^{z_2} \quad \forall z_1 \in \mathbb{C} \quad \#$$

② Thm 6.11

i.e.  $\forall M > 0, \exists B > 0$  s.t.  
 $|f(z)| > M \quad \forall |z| > B$

If  $f$  is entire and if  $\underline{f(z) \rightarrow \infty \text{ as } z \rightarrow \infty}$ ,  
 then  $f$  is a polynomial.

Remark  
 $f(z) = e^z \not\rightarrow \infty$

Note:  $|e^{iy}| = |\cos y + i \sin y|$   
 $= 1 \not\rightarrow \infty \text{ as } |y| \rightarrow \infty$

pf

(take  $M=1$  in def)

By assumption,  $\exists B > 0$  s.t.  $|z| > B \Rightarrow |f(z)| > 1$

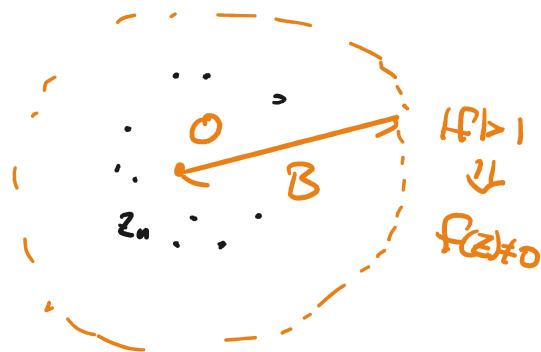
Claim

$f$  has finite zeros

pf

$z_n \neq z_m \quad \forall n \neq m$

If not,  $\exists |z_n| \leq B, n=1,2,\dots$   
 s.t.  $f(z_n) = 0$



Since  $\overline{D(0;B)}$  is compact,  $\{z_n\}$  has an acc pt  
 in  $\overline{D(0;B)} \subseteq \mathbb{C}$

uniqueness

$\Rightarrow f \equiv 0$  in  $\mathbb{C}$  (~~↔~~) #

thm

( $|\alpha_j| \leq B$ )

Let  $\alpha_1, \dots, \alpha_N$  be the zeros of  $f$ , and let  
 $k_j$  be the multiplicity of  $\alpha_j$  i.e.

$f(\alpha_j) = f^{(1)}(\alpha_j) = \dots = f^{(k_j-1)}(\alpha_j) = 0$   
 $f^{(k_j)}(\alpha_j) \neq 0$

Claim

$k_j < \infty$

pf

If not,  $f(\alpha_j) = f^{(1)}(\alpha_j) = \dots = 0$

$f$  is entire

$$\Rightarrow f(z) = \sum_{n=0}^{\infty} \frac{f^{(n)}(\alpha_j)}{n!} (z - \alpha_j)^n \quad \forall z \in \mathbb{C} \quad D(\alpha_j; \infty)$$

$$= 0$$

$$\forall z \in \mathbb{C} \quad (\rightarrow \infty)$$

Will finish on Thursday . . . .