

## Application of Derivatives

## Basic Graphing

How to graph  $y = f(x)$ ?

1. Find  $f'$  and  $f''$ .
2. Determine where the graph of  $f(x)$  is increasing or decreasing from  $f'$
3. Determine concavity of the graph from  $f''$
4. Plot specific points (roots, critical points and inflection points) and sketch the curve.

**Remark 1** A reflection point is a point  $x_0$  where  $f'(x_0) \in \mathbb{R} \cup \{\pm\infty\}$  and  $f$  changes concavity at  $x_0$ .  $f''(x_0)$  may or may not exist. For example,  $f(x) = x|x|$  and  $x_0 = 0$ . Moreover, if  $f''(x_0) = 0$ , it may or may not be a point of reflection. For example,  $f(x) = x^4$  and  $x_0 = 0$ .

**Example 1**  $f(x) = x^{1/3}(x - 4)$

$$f'(x) = \frac{4}{3}x^{-2/3}(x - 1)$$

$$f''(x) = \frac{4}{9}x^{-5/3}(x + 2)$$

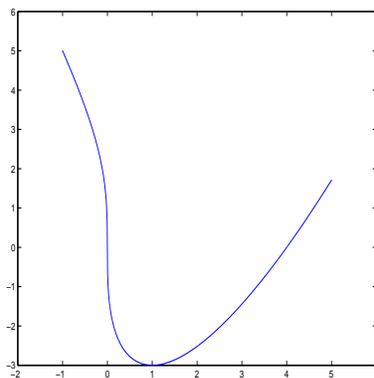


Figure 1: Plot of  $y = x^{1/3}(x - 4)$

**Example 2**  $f(x) = x^4 - 4x^3 + 10$

$$f'(x) = 4x^2(x - 3)$$

$$f''(x) = 12x(x - 2)$$

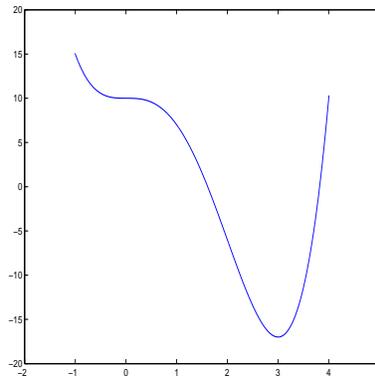


Figure 2: Plot of  $y = x^4 - 4x^3 + 10$

**Example 3**  $f(x) = x^{5/3} - 5x^{2/3}$

$$f'(x) = \frac{5}{3}x^{-1/3}(x - 2)$$

$$f''(x) = \frac{10}{9}x^{-4/3}(x + 1)$$

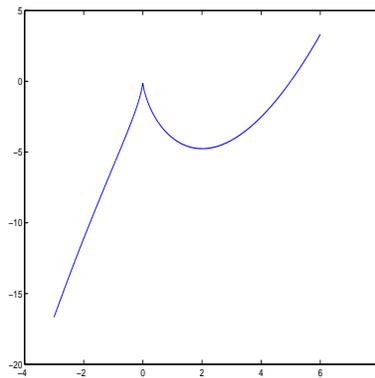


Figure 3: Plot of  $y = x^{5/3} - 5x^{2/3}$

# Asymptotes and Dominating Terms

**Definition 1** The line  $y = b$  is called a *Horizontal Asymptote* of  $y = f(x)$  if

$$\lim_{x \rightarrow \infty} f(x) = b \quad \text{or} \quad \lim_{x \rightarrow -\infty} f(x) = b$$

The line  $x = a$  is called a *Vertical Asymptote* of  $y = f(x)$  if

$$\lim_{x \rightarrow a^+} f(x) = \pm\infty \quad \text{or} \quad \lim_{x \rightarrow a^-} f(x) = \pm\infty$$

**Example 4**  $y = \frac{1}{x^2-1}$

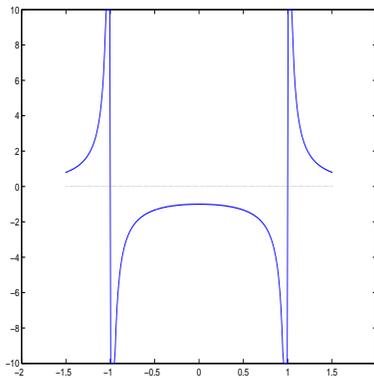


Figure 4: Plot of  $y = \frac{1}{x^2-1}$

**Definition 2** The line  $y = ax + b$  is called an *Oblique Asymptote* of  $y = f(x)$  if

$$\lim_{x \rightarrow \infty} f(x) - (ax + b) = 0 \quad \text{or} \quad \lim_{x \rightarrow -\infty} f(x) - (ax + b) = 0$$

**Example 5**  $y = \frac{x^2-3}{x-1}$

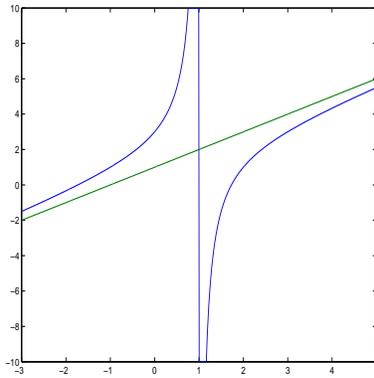


Figure 5: Plot of  $y = \frac{x^2-3}{x-1}$

Similarly, we say that  $g(x)$  is a Dominant Term of  $f(x)$  near  $x = c, \pm\infty$  if

$$\lim_{x \rightarrow c, \pm\infty} \frac{f(x) - g(x)}{g(x)} = 0$$

**Example 6**  $y = \frac{x^3+1}{x}$

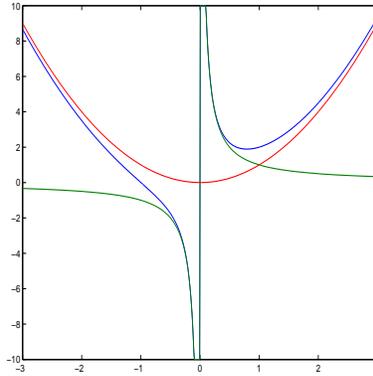


Figure 6: Plot of  $y = \frac{x^3+1}{x}$

# Optimization-Finding Absolute Extrema (Skip Examples in Economics)

How to Find Global Min and Global Max of  $y = f(x)$ ?

1. Find all critical points of  $f$ .
2. Compare values of  $f(x)$  at all critical points and endpoints.

**Example 7** Find the largest box (in volume) made from a 10-by-10-cm sheet with 4 small squares of equal size cut at the 4 corners.

**Example 8** Find a rectangle contained in the ellipse  $x^2 + 2y^2 = 1$  that has the largest area and perimeter, respectively.

# The Mean Value Theorem

**Theorem 1 (From Advanced Calculus)** *A continuous function  $f$  defined on a closed interval  $[a, b]$  always attains (absolute) maximum and absolute minimum.*

It is easy to see that one may fail to find absolute maximum and minimum provided either  $f$  is not continuous or the interval is not closed, for example  $(a, b)$ .

**Example 9**  $y = 1/x$  on  $[-1, 1]$

**Example 10**  $y = 1/x$  on  $(0, 1]$

**Theorem 2 (Rolle's Theorem)** *If  $g$  is continuous on  $[a, b]$  and differentiable on  $(a, b)$ , and if  $g(a) = g(b) = 0$ , then there exists a point  $c \in (a, b)$  such that  $g'(c) = 0$*

Rolle's Theorem a special case of the following

**Theorem 3 (Mean Value Theorem)** *If  $f$  is continuous on  $[a, b]$  and differentiable on  $(a, b)$ , then there exists a point  $c \in (a, b)$  such that  $\frac{f(b)-f(a)}{b-a} = f'(c)$ .*

In fact, it is easy to show that Rolle's Theorem is equivalent to Mean Value Theorem. In fact, if we take  $g(x) = f(x) - (f(a) + \frac{f(b)-f(a)}{b-a}(x-a))$ , it is easy to see that Mean Value Theorem can be derived from Rolle's Theorem.

**Corollary 1** If  $f' = 0$  on an interval  $(a, b)$ , then  $f(x)$  is a constant on  $(a, b)$ .

**Corollary 2** If  $f' = g'$  on an interval  $(a, b)$ , then  $f(x) - g(x)$  is a constant on  $(a, b)$ .

**Corollary 3** Suppose  $f$  is continuous on  $[a, b]$  and differentiable on  $(a, b)$ . If  $f' > 0$  on  $(a, b)$ , then  $f$  strictly increasing on  $[a, b]$ . If  $f' < 0$  on  $(a, b)$ , then  $f$  strictly decreasing on  $[a, b]$ .

**Remark 2 (see Chap 9, Taylor's Theorem)** Applying the Mean Value Theorem repeatedly, one can show that

$$f(b) = f(a) + f'(a)(b - a) + \frac{1}{2}f''(y)(b - a)^2$$

for some  $y$  between  $a$  and  $b$ , provided  $f, f'$  are continuous on  $[a, b]$  and  $f$  is twice differentiable on  $(a, b)$ .

*proof:*

Define a quadratic function

$$g(x) = f(a) + f'(a)(x - a) + \frac{K}{2}(x - a)^2.$$

Note that  $f(a) = g(a)$ ,  $f'(a) = g'(a)$ . We choose the constant  $K$  such that  $f(b) = g(b)$ . It follows that we can apply the Mean Value Theorem to  $f(x) - g(x)$ . (twice, on different intervals. details omitted)  $\square$

**Example 11** Show that  $f(x) = x^3 + 3x + 1$  has exactly one real root.