

Periodic Boundary Value Problems of Impulsive Differential Equations *†

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Abstract

By means of the continuation theorem of coincidence degree theory, sufficient conditions are obtained for the existence of solutions of periodic boundary value problems involving impulsive differential equations.

In recent years, impulsive differential equations have attracted much attention since many evolution processes are subject to short term perturbations in the form of impulses. See, for instance, [1-8]. In this paper, we consider the following periodic boundary value problem of impulsive differential equation (PBVP)

$$\begin{cases} \dot{x}(t) = g(t, x(t)) + p(t), & t \neq t_k, \quad k = 1, 2, \dots, m, \\ x(t_k^+) - x(t_k) = I_k(t_k, x(t_k)), & k = 1, 2, \dots, m, \\ x(0) = x(T), \end{cases} \quad (1)$$

where $0 = t_0 < t_1 < t_2 < \dots < t_m < t_{m+1} = T$, $T > 0$; $J = [0, T]$, $g \in C(J \times R, R)$, $g(0, u) = g(T, u)$ for $u \in R$; $I_k \in C(J \times R, R)$ for $k = 1, 2, \dots, m$; $p \in C(J, R)$, and $p(0) = p(T)$.

Next we briefly state the part of Mawhin's coincidence degree theory that will be used in our study of PBVP (1). For further details, we refer the readers to [9, 10]. Let X and Y be real normed vector spaces, $L : \text{dom}L \subset X \rightarrow Y$ be a linear mapping, and $N : X \rightarrow Y$ be a continuous mapping. The mapping L will be called a Fredholm mapping of index zero if $\dim \text{Ker}L = \text{codim} \text{Im}L < +\infty$ and $\text{Im}L$ is closed in Y . If L is a Fredholm mapping of index zero, there then exist continuous projectors $P : X \rightarrow X$ and $Q : Y \rightarrow Y$ such that $\text{Im}P = \text{Ker}L$ and $\text{Ker}Q = \text{Im}L$. It follows that $L_p = L|_{\text{dom}L \cap \text{Ker}P} : \text{dom}L \cap \text{Ker}P \rightarrow \text{Im}L = \text{Ker}Q$ is one-to-one and onto $\text{Im}L$. We denote its inverse by K_p . If Ψ is an open bounded subset of X , the mapping N will be called L -compact on $\bar{\Psi}$ if $QN(\Psi)$ is bounded and $K_p(I - Q)N : \bar{\Psi} \rightarrow X$ is compact. Since $\text{Im}Q$ is isomorphic to $\text{Ker}L$, there exists an isomorphism $\hat{J} : \text{Im}Q \rightarrow \text{Ker}L$.

Mawhin's Continuation Theorem ([9, 10]). Let L be a Fredholm mapping of index zero and let N be L -compact on $\bar{\Psi}$. Suppose (i) For each $\lambda \in (0, 1)$, $x \in$

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$\partial\Psi$, $Lx \neq \lambda Nx$; (ii) $QNx \neq 0$ for each $x \in \text{Ker}L \cap \partial\Psi$; and (iii) Brouwer degree $\deg_B(\tilde{J}QN, \Psi \cap \text{Ker}L, 0) \neq 0$. Then the equation $Lx = Nx$ has at least one solution in $\text{dom}L \cap \tilde{\Psi}$.

Set $J' = J \setminus \{t_1, \dots, t_m\}$. Let

$$PC_T = \{u : J \rightarrow R \mid u \in C(J', R), u(t_j^-), u(t_j^+) \text{ exist for } j = 1, 2, \dots, m, \text{ and } u(0) = u(T)\}$$

and

$$PC_T^1 = \{u : J \rightarrow R \mid u \in C^1(J', R), u(t_j^+), u(t_j^-), u'(t_j^+), u'(t_j^-) \text{ exist, } u(t_j^-) = u(t_j), j = 1, 2, \dots, m, \text{ and } u(0) = u(T), u'(0) = u'(T)\}$$

where $u(t_j^+), u(t_j^-)$ denote the right and left limits of $u(t)$ at $t = t_j$ respectively; $u'(t_j^+), u'(t_j^-)$ denote the right and left limits of $u'(t)$ at $t = t_j$ respectively.

For $u(t) \in PC_T^1$, it is easy to see that the left derivative $u'_-(t_j)$ exists and is equal to $u'(t_j^-)$. In the following, $u'(t_j)$ may be understood as $u'_-(t_j)$.

For $u \in PC_T$, denote its norm by $\|u\| = \sup\{|u(t)| : t \in J\}$. For $u \in PC_T^1$, denote its norm by $\|u\|_1 = \|u\| + \|u'\|$. One can easily prove that PC_T and PC_T^1 are Banach spaces.

Let $X = Y = PC_T$ and let $L : \text{dom}L = PC_T^1 \subset X \rightarrow Y$ be given by

$$Lx(t) = \begin{cases} \dot{x}(t), & t \neq t_k, \quad k = 1, 2, \dots, m \\ x(t_k^+) - x(t_k), & t = t_k, \quad k = 1, 2, \dots, m \end{cases}$$

It is obvious that L is a linear mapping.

THEOREM 1. Assume that $\int_0^T p(t)dt = 0$ and there exist constants $c_k, h_k > 0$ for $k = 0, 1, 2, \dots, m$, such that the following conditions hold: (i) if $x \geq c_0$, then $g(t, x) \leq h_0$ uniformly for $t \in J$, (ii) if $|x| \geq c_0$, then $xg(t, x) > 0$ uniformly for $t \in J$, (iii) if $|x| \geq c_0$, then $xI_k(t_k, x) > 0$ for $k = 1, 2, \dots, m$, (iv) $|I_k(t_k, x)| \leq c_k|x| + h_k$ for $k = 1, 2, \dots, m$, and (v) $\sum_{k=1}^m c_k < 1/2$. Then PBVP (1) has at least one solution.

We remark that condition (i) in Theorem 1 can be replaced by the following condition: (a) if $x \leq -c_0$, then $g(t, x) \geq -h_0$ uniformly for $t \in J$.

Before proving Theorem 1, we need the following lemmas.

LEMMA 1. $H \subset PC_T$ is relatively compact if, and only if, every function of H is uniformly bounded on J and is equicontinuous on each J_k for $k = 0, 1, 2, \dots, m$, where $J_0 = [0, t_1), J_k = (t_k, t_{k+1})$ for $k = 1, 2, \dots, m-1$, and $J_m = (t_m, T]$.

The proof is an immediate consequence of Ascoli-Arzelà Theorem.

LEMMA 2. L is a Fredholm mapping of index zero.

PROOF. It is clear that

$$\text{Ker}L = \{u : u(t) \equiv c, c \in R\}.$$

For $y \in Y$ we define $Q : Y \rightarrow Y$ by

$$Qy = \frac{\sum_{i=1}^m y(t_i) + \int_0^T y(t)dt}{T + m}.$$

We assert that $\text{Im}L = \text{Ker}Q$. There are two cases to consider.

Case 1. If $y \in \text{Im}L$, then there exists $x \in \text{dom}L \subset X$ such that $Lx = y$ i.e.

$$\begin{cases} \dot{x}(t) = y(t), & t \neq t_k, k = 1, 2, \dots, m, \\ x(t_k^+) - x(t_k) = y(t_k), & k = 1, 2, \dots, m. \end{cases}$$

Hence, we have

$$\begin{aligned} \int_0^T y(t)dt &= \int_0^{t_1} y(t)dt + \sum_{k=1}^{m-1} \int_{t_k}^{t_{k+1}} y(t)dt + \int_{t_m}^T y(t)dt \\ &= x(t_1) - x(0) + \sum_{k=1}^{m-1} [x(t_{k+1}) - x(t_k^+)] + x(T) - x(t_m^+) \\ &= x(t_1) + \sum_{k=1}^{m-1} [x(t_{k+1}) - x(t_k) - y(t_k)] - x(t_m) - y(t_m) \\ &= -\sum_{k=1}^m y(t_k). \end{aligned}$$

So, we have

$$\sum_{k=1}^m y(t_k) + \int_0^T y(t)dt = 0.$$

Therefore, $y \in \text{Ker}Q$, which implies that $\text{Im}L \subset \text{Ker}Q$.

Case 2. If $y \in \text{Ker}Q$, then $\sum_{i=1}^m y(t_i) + \int_0^T y(t)dt = 0$. Define the function $x(t)$ by

$$x(t) = \begin{cases} a_1 + \int_{t_1}^t y(s)ds, & t \in [0, t_1]; \\ a_{i+1} + \int_{t_{i+1}}^t y(s)ds, & t \in (t_i, t_{i+1}), \quad i = 1, 2, \dots, m-1; \\ a_{m+1} + \int_T^t y(s)ds, & t \in (t_m, T]; \end{cases}$$

where a_1, \dots, a_{m+1} are given by the following system of equations

$$\left\{ \begin{aligned} \sum_{i=1}^m (1 + t_i - t_{i-1})a_i + (T - t_m)a_{m+1} &= -\sum_{i=0}^{m-1} \int_{t_i}^{t_{i+1}} \int_{t_{i+1}}^t y(s)dsdt \\ &\quad - \int_{t_m}^T \int_T^t y(s)dsdt, \\ a_1 - a_2 &= -y(t_1) - \int_{t_1}^{t_2} y(s)ds, \\ \dots \dots \dots &\dots \dots \dots \\ a_i - a_{i+1} &= -y(t_i) - \int_{t_i}^{t_{i+1}} y(s)ds, \\ \dots \dots \dots &\dots \dots \dots \\ a_{m-1} - a_m &= -y(t_{m-1}) - \int_{t_{m-1}}^{t_m} y(s)ds, \\ a_m - a_{m+1} &= -y(t_m) - \int_{t_m}^T y(s)ds. \end{aligned} \right. \quad (2)$$

Let Δ denote the determinant of coefficients of system (2). It is easy to see that $\Delta = (m + T)(-1)^m \neq 0$. Therefore, system (2) has the unique solution (a_1, \dots, a_{m+1}) .

It is easy to verify that $x(t) \in PC_T^1$. Next we prove that $Lx = y$. Clearly, we have

$$x'(t) = y(t), \quad t \neq t_k, \quad k = 1, 2, \dots, m.$$

By (2), $x(t_i) = a_i$ for $i = 1, 2, \dots, m$, and

$$\begin{aligned} x(t_i^+) &= a_{i+1} + \int_{t_{i+1}}^{t_i} y(s) ds, \quad i = 1, 2, \dots, m-1, \\ x(t_m^+) &= a_{m+1} + \int_T^{t_m} y(s) ds, \end{aligned}$$

we have

$$x(t_i^+) - x(t_i) = a_{i+1} + \int_{t_{i+1}}^{t_i} y(s) ds - a_i = y(t_i), \quad i = 1, 2, \dots, m-1,$$

and

$$x(t_m^+) - x(t_m) = a_{m+1} + \int_T^{t_m} y(s) ds - a_m = y(t_m).$$

This proves that $Lx = y$. Hence, we have $\text{Ker}Q \subset \text{Im}L$.

Combining Case 1 and Case 2, we have $\text{Im}L = \text{Ker}Q$.

It is easy to verify that $Q^2y = Qy$ for $y \in Y$. Therefore Q is a continuous projector.

Clearly, $\text{Im}L$ is a closed subspace of Y , and $Y = \text{Im}L \oplus \text{Im}Q$.

Since $\dim \text{Ker}L = 1$ and $\text{codim} \text{Im}L = \dim \text{Im}Q = 1$, L is a Fredholm mapping of index zero. The proof is complete.

Define $P = Q : PC_T \rightarrow PC_T$ by

$$Qy = \frac{\sum_{i=1}^m y(t_i) + \int_0^T y(t) dt}{T + m}, \quad y \in PC_T.$$

From the proof of Lemma 2, we know that $P = Q$ are continuous projectors such that $X = \text{Ker}L \oplus \text{Ker}P$ and $Y = \text{Im}L \oplus \text{Im}Q$. Consequently, the restriction L_P of L to $\text{dom}L \cap \text{Ker}P$ is one-to-one and onto $\text{Im}L$, so that its inverse $K_P : \text{Im}L \rightarrow \text{dom}L \cap \text{Ker}P$ is defined. Further, we have the following result.

LEMMA 3. $K_P : \text{Im}L \rightarrow \text{dom}L \cap \text{Ker}P$ is a compact mapping.

PROOF. For any $y \in \text{Im}L$, set

$$z(t) = \begin{cases} a_1 + \int_{t_1}^t y(s) ds, & t \in [0, t_1]; \\ a_{i+1} + \int_{t_{i+1}}^t y(s) ds, & t \in (t_i, t_{i+1}], \quad i = 1, 2, \dots, m-1; \\ a_{m+1} + \int_T^t y(s) ds, & t \in (t_m, T]. \end{cases}$$

where a_1, \dots, a_{m+1} are given by (2). It follows from the first equation of (2) that $z(t) \in \text{Ker}P$. Thus, we have $K_P y(t) = z(t)$. Therefore, by Lemma 1 and the definition of K_P , it is easy to see that K_P is a compact mapping. The proof is complete.

LEMMA 4. If the conditions of Theorem 1 hold, then there exists a constant $M > 0$, such that every solution $x(t)$ of the problem

$$Lx = \lambda Nx, \quad \lambda \in (0, 1)$$

satisfies $\|x\| \leq M$.

PROOF. Let $Lx = \lambda Nx$ for $x(t) \in X$, i.e.

$$\begin{cases} \dot{x}(t) = \lambda g(t, x(t)) + \lambda p(t), & t \neq t_k, \quad k = 1, 2, \dots, m, \\ x(t_k^+) - x(t_k) = \lambda I_k(t_k, x(t_k)), & k = 1, 2, \dots, m. \end{cases} \quad (3)$$

Then we have

$$\begin{aligned} \int_0^T \dot{x}(t) dt &= \int_0^{t_1} \dot{x}(t) dt + \sum_{k=1}^{m-1} \int_{t_k}^{t_{k+1}} \dot{x}(t) dt + \int_{t_m}^T \dot{x}(t) dt \\ &= x(t_1) - x(0) + \sum_{k=1}^{m-1} [x(t_{k+1}) - x(t_k^+)] + x(T) - x(t_m^+) \\ &= x(t_1) + \sum_{k=1}^{m-1} [x(t_{k+1}) - x(t_k) - \lambda I_k(t_k, x(t_k))] - x(t_m) - \lambda I_m(t_m, x(t_m)) \\ &= -\lambda \sum_{k=1}^m I_k(t_k, x(t_k)) \\ &= \lambda \int_0^T g(t, x(t)) dt, \end{aligned}$$

which implies that

$$\int_0^T g(t, x(t)) dt + \sum_{k=1}^m I_k(t_k, x(t_k)) = 0 \quad (4)$$

Set $E_1 = \{t \in [0, T] : x(t) \geq c_0\}$, $E_2 = \{t \in [0, T] : x(t) \leq -c_0\}$, and $E_3 = \{t \in [0, T] : |x(t)| < c_0\}$. From (4), we have

$$\begin{aligned} \int_{E_2} g(t, x(t)) dt &= -\int_{E_1} g(t, x(t)) dt - \int_{E_3} g(t, x(t)) dt - \sum_{k=1}^m I_k(t_k, x(t_k)) \\ &\geq -h_0 T - \gamma T - \sum_{k=1}^m c_k \|x\| - \sum_{k=1}^m h_k, \end{aligned}$$

where

$$\gamma = \max_{t \in [0, T], |x| \leq c_0} |g(t, x)|.$$

Therefore, we have

$$\int_0^T |g(t, x(t))| dt = \int_{E_1} |g(t, x(t))| dt + \int_{E_2} |g(t, x(t))| dt + \int_{E_3} |g(t, x(t))| dt$$

$$\begin{aligned}
&= \int_{E_1} |g(t, x(t))| dt - \int_{E_2} g(t, x(t)) dt + \int_{E_3} |g(t, x(t))| dt \\
&\leq h_0 T + (h_0 T + \gamma T + \sum_{k=1}^m h_k) + \sum_{k=1}^m c_k \|x\| + \gamma T.
\end{aligned}$$

That is

$$\int_0^T |g(t, x(t))| dt \leq M_1 + \sum_{k=1}^m c_k \|x\| \quad (5)$$

where

$$M_1 = 2h_0 T + 2\gamma T + \sum_{k=1}^m h_k.$$

Next we make the following claims.

Claim 1. There exists $\tau \in [0, T]$, such that $|x(\tau)| \leq c_0$. Indeed, assume to the contrary that $|x(t)| > c_0$ for any $t \in [0, T]$. Without loss of generality, assume that $x(0) > 0$. Then, by $x(t) \in C[0, t_1]$, we have $x(t) > 0$ for any $t \in [0, t_1]$. By (3) and condition (iii) of Theorem 1, we know that $x(t_1^+) > 0$. Therefore, we have $x(t) > 0$ for any $t \in [t_1, t_2]$. By using simple induction, we can prove that $x(t) > 0$ for any $t \in [0, T]$. So, we have $x(t) > c_0$ for any $t \in [0, T]$. Hence, by conditions (ii) and (iii) of Theorem 1, we have $g(t, x(t)) > 0$ for any $t \in [0, T]$ and $I_k(t_k, x(t_k)) > 0$ for $k = 1, 2, \dots, m$. This is contrary to (4). The proof of Claim 1 is complete.

Claim 2. $|x(t)| \leq |x(\tau)| + \int_0^T |\dot{x}(t)| dt + \sum_{k=1}^m |I_k(t_k, x(t_k))|$ for any $t \in [0, T]$. Indeed, without loss of generality, we assume that $t \in (t_k, t_{k+1}]$ and $\tau \in (t_{k+q}, t_{k+q+1}]$, $0 \leq q \leq m - k$. Then we have

$$\begin{aligned}
&x(t) - x(\tau) \\
&= x(t) - x(t_{k+1}) + \sum_{j=1}^q [x(t_{k+j}) - x(t_{k+j+1})] + x(t_{k+q+1}) - x(\tau) \\
&= \int_{t_{k+1}}^t \dot{x}(t) dt + \sum_{j=1}^q [x(t_{k+j}^+) - x(t_{k+j+1}) - \lambda I_{k+j}(t_{k+j}, x(t_{k+j}))] + \int_{\tau}^{t_{k+q+1}} \dot{x}(t) dt \\
&= \int_{t_{k+1}}^t \dot{x}(t) dt - \sum_{j=1}^q \int_{t_{k+j}}^{t_{k+j+1}} \dot{x}(t) dt - \lambda \sum_{j=1}^q I_{k+j}(t_{k+j}, x(t_{k+j})) + \int_{\tau}^{t_{k+q+1}} \dot{x}(t) dt \\
&= \int_{\tau}^t \dot{x}(t) dt - \lambda \sum_{j=1}^q I_{k+j}(t_{k+j}, x(t_{k+j})).
\end{aligned}$$

Hence, we have

$$|x(t)| \leq |x(\tau)| + \int_0^T |\dot{x}(t)| dt + \sum_{k=1}^m |I_k(t_k, x(t_k))|.$$

The proof of Claim 2 is complete.

On the other hand, by (3) and (5), we obtain

$$\int_0^T |\dot{x}(t)| dt \leq \lambda \int_0^T |g(t, x(t))| dt + \lambda \int_0^T |p(t)| dt \leq M_1 + \sum_{k=1}^m c_k \|x\| + \int_0^T |p(t)| dt.$$

Therefore, we have

$$|x(t)| \leq c_0 + M_1 + \sum_{k=1}^m c_k \|x\| + \int_0^T |p(t)| dt + \sum_{k=1}^m c_k \|x\| + \sum_{k=1}^m h_k$$

Hence, we have

$$\|x\| \leq \frac{1}{1 - 2 \sum_{k=1}^m c_k} \left[c_0 + M_1 + \sum_{k=1}^m h_k + \int_0^T |p(t)| dt \right]. \quad (6)$$

The proof is complete by taking M to be the right hand side of (6).

We now turn to the proof of Theorem 1. Let $r > \max\{M, c_0\}$ with M as given in Lemma 4 and let $\Psi = \{x \in PC_T : \|x\| < r\}$. Define a map $N : X \rightarrow Y$ by

$$Nx(t) = \begin{cases} g(t, x(t)) + p(t), & t \neq t_k, \quad k = 1, 2, \dots, m, \\ I_k(t_k, x(t_k)), & t = t_k, \quad k = 1, 2, \dots, m. \end{cases}$$

We assert that N is continuous. Indeed, assume that $x_n, x \in PC_T$ such that $x_n \rightarrow x$. Then there exists $H > 0$ such that $\|x_n\| \leq H$ and $\|x\| \leq H$. Given any $\varepsilon > 0$, it follows from the uniform continuity of g, I_1, \dots, I_m on $[0, T] \times \{x \in R : |x| \leq H\}$ that there exists $0 < \delta < \varepsilon$ such that $|g(t, x_1) - g(t, x_2)| < \varepsilon$ and $|I_k(t, x_1) - I_k(t, x_2)| < \varepsilon$ for any $x_1, x_2 \in \{x \in R^n : |x| \leq H\}$ with $|x_1 - x_2| < \delta$. Again, for $\delta > 0$, there exists a positive integer K such that, for $n \geq K$, $\|x_n - x\| \leq \delta$. Since

$$Nx_n(t) - Nx(t) = \begin{cases} g(t, x_n(t)) - g(t, x(t)), & t \neq t_k, \\ I_k(t_k, x_n(t_k)) - I_k(t_k, x(t_k)), & t = t_k, \end{cases}$$

for $k = 1, 2, \dots, m$, we have

$$|Nx_n(t) - Nx(t)| \leq \varepsilon, \quad n \geq K, t \in [0, T].$$

Hence, we have

$$\|Nx_n - Nx\| \leq \varepsilon, \quad n \geq K.$$

This proves that N is continuous on PC_T . Therefore, PBVP (1) is equivalent to the operator equation

$$Lx = Nx, \quad x \in \text{dom}L.$$

We now apply Mawhin's Continuation Theorem for Ψ . In view of Lemma 3, N is L -compact on $\bar{\Psi}$. By Lemma 4, it is easy to see that $Lx \neq \lambda Nx$ for any $(x, \lambda) \in$

$(\text{dom}L \cap \partial\Psi) \times (0, 1)$. Note that for $x \in \partial\Psi \cap \text{Ker}L = \partial\Psi \cap R$, we must have $x = r$ or $x = -r$. Therefore, for such an x ,

$$QNx = \frac{\sum_{i=1}^m I_i(t_i, x) + \int_0^T g(t, x)dt}{T + m} \neq 0.$$

Let

$$\Phi(x, \mu) = \mu x + (1 - \mu) \frac{\sum_{i=1}^m I_i(t_i, x) + \int_0^T g(t, x)dt}{T + m}, \quad \mu \in [0, 1].$$

Then, for any $x \in \partial\Psi \cap \text{Ker}L$, $\mu \in [0, 1]$, we have

$$x\Phi(x, \mu) = \mu x^2 + (1 - \mu) \frac{\sum_{i=1}^m xI_i(t_i, x) + \int_0^T xg(t, x)dt}{T + m} > 0, \quad \mu \in [0, 1],$$

which implies that $\Phi(x, \mu)$ is a homotopy. Therefore, by the property of invariance under a homotopy of coincidence degree, we have

$$\begin{aligned} \deg_B(\hat{J}QN, \Psi \cap \text{Ker}L, 0) &= \deg_B(\Phi(x, 0), \Psi \cap \text{Ker}L, 0) \\ &= \deg_B(\Phi(x, 1), \Psi \cap \text{Ker}L, 0) \\ &= \deg_B(x, \Psi \cap \text{Ker}L, 0) = 1, \end{aligned}$$

where the isomorphism \hat{J} of $\text{Im}Q$ onto $\text{Ker}L$ is the identity mapping, since $\text{Im}Q = \text{Ker}L$. It follows from Mawhin's Continuation Theorem that $Lx = Nx$ has at least one solution in $\text{dom}L \cap \bar{\Psi}$. Therefore, PBVP (1) has at least one solution. The proof is complete.

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