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## Coefficient Bounds For Certain Subclasses Of Analytic Functions<sup>\*</sup>

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#### Abstract

In this paper, we introduce and investigate a subclass of analytic and biunivalent functions in the open unit disk  $\mathbb{U}$ . Furthermore, we find upper bounds for the second and third coefficients for functions in this subclass. The results presented in this paper generalize and improve some recent works.

### 1 Introduction

Let  $\mathcal{A}$  be a class of functions of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n,$$
(1)

which are analytic in the open unit disk  $\mathbb{U} = \{z \in \mathbb{C} : |z| < 1\}$ . Also  $\mathcal{S}$  denote the class of functions  $f \in \mathcal{A}$  which are univalent in  $\mathbb{U}$ .

The Koebe one-quarter Theorem [5] ensures that the image of  $\mathbb{U}$  under every univalent function  $f \in \mathcal{S}$  contains a disk of radius  $\frac{1}{4}$ . So every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , which is defined by

$$f^{-1}(f(z)) = z \qquad (z \in \mathbb{U}),$$

and

$$f(f^{-1}(w)) = w$$
  $\left( |w| < r_0(f); r_0(f) \ge \frac{1}{4} \right),$ 

where

$$g(w) = f^{-1}(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \cdots$$
(2)

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both f and  $f^{-1}$  are univalent in  $\mathbb{U}$ . Let  $\Sigma$  denote the class of bi-univalent functions in  $\mathbb{U}$  given by (1).

Determination of the bounds for the coefficients  $a_n$  is an important problem in geometric function theory as they give information about the geometric properties of

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these functions. Recently there are interests to study the bi-univalent functions class  $\Sigma$  and obtain non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$ . For a brief history and interesting examples of functions in the class  $\Sigma$ , see [12] (also [1, 3, 4, 13]). Many interesting examples of functions which are in (or which are not in) the class  $\Sigma$ , together with various other properties and characteristics associated with the bi-univalent function class  $\Sigma$  (including also several open problems and conjectures involving estimates on the Taylor Maclaurin coefficients of functions in  $\Sigma$ ), can be found in recent literatures [2, 7, 9, 10, 11, 15]. The coefficient estimate problem i.e. bound of  $|a_n|$  ( $n \in \mathbb{N} - \{2, 3\}$ ) for each  $f \in \Sigma$  is still an open problem. More recently Frasin [6] introduced the following two subclasses of the bi-univalent function class  $\Sigma$  and obtained non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  of functions in each of these subclasses.

DEFINITION 1 ([6]). Let  $0 < \eta \leq 1$  and  $\lambda \geq 0$ . A function f(z) given by (1) is said to be in the class  $H_{\Sigma}(\eta, \lambda)$  if the following conditions are satisfied

$$f\in \Sigma, \ |\arg(f'(z)+\lambda z f''(z))|<\frac{\eta\pi}{2}, \ \text{and} \ |\arg(g'(w)+\lambda w g''(w))|<\frac{\eta\pi}{2},$$

where the function g is given by (2).

THEOREM 1 ([6]). Let f(z) given by (1) be in the class  $H_{\Sigma}(\eta, \lambda)$ . Then

$$|a_2| \le \frac{2\eta}{\sqrt{2(\eta+2)+4\lambda(\eta+\lambda+2-\lambda\eta)}}$$
 and  $|a_3| \le \frac{\eta^2}{(1+\lambda)^2} + \frac{2\eta}{3(1+2\lambda)}$ .

DEFINITION 2 ([6]). Let  $0 \leq \beta < 1$  and  $\lambda \geq 0$ . A function f(z) given by (1) is said to be in the class  $H_{\Sigma}(\beta, \lambda)$  if the following conditions are satisfied

$$f \in \Sigma$$
,  $\mathfrak{Re}\left(f'(z) + \lambda z f''(z)\right) > \beta$  and  $\mathfrak{Re}\left(g'(w) + \lambda w g''(w)\right) > \beta$ ,

where the function g is given by (2).

THEOREM 2 ([6]). Let f(z) given by (1) be in the class  $H_{\Sigma}(\beta, \lambda)$ . Then

$$|a_2| \le \sqrt{\frac{2(1-\beta)}{3(1+2\lambda)}}$$
 and  $|a_3| \le \frac{(1-\beta)^2}{(1+\lambda)^2} + \frac{2(1-\beta)}{3(1+2\lambda)}$ .

The purpose of our study is to investigate the bi-univalent function class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  introduced here in Definition 3 and derive coefficient estimates on the first two Taylor-Maclaurin coefficient  $|a_2|$  and  $|a_3|$  for a function  $f \in \mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  given by (1). Our results generalize and improve those in related works of several earlier authors.

# **2** The Subclass $\mathcal{W}^{h,p}_{\Sigma}(\gamma,\lambda,\alpha)$

In this section, we introduce and investigate the general subclass  $\mathcal{W}^{h,p}_{\Sigma}(\gamma,\lambda,\alpha)$ .

DEFINITION 3. Let the analytic functions  $h, p : \mathbb{U} \to \mathbb{C}$  satisfying that

 $\min\{\mathfrak{Re}(h(z)),\mathfrak{Re}(p(z))\}>0\quad (z\in\mathbb{U})\ \text{ and }\ h(0)=p(0)=1.$ 

Let  $\alpha \ge 0$ ,  $\lambda \ge 0$  and  $\gamma \in \mathbb{C} \setminus \{0\}$ . A function  $f \in \mathcal{A}$  given by (1) is said to be in the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  if the following conditions are satisfied

$$1 + \frac{1}{\gamma} \left[ (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda) f'(z) + \lambda z f''(z) - 1 \right] \in h(\mathbb{U}) \qquad (z \in \mathbb{U}), \quad (3)$$

and

$$1 + \frac{1}{\gamma} \left[ (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right] \in p(\mathbb{U}) \qquad (w \in \mathbb{U}), \quad (4)$$

where the function g is defined by (2).

REMARK 1. There are many choices of h and p which would provide interesting subclasses of class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$ . For example, if we take

$$h(z) = p(z) = \left(\frac{1+z}{1-z}\right)^{\eta} \qquad (0 < \eta \le 1, \alpha \ge 0, \lambda \ge 0, \gamma \in \mathbb{C} \setminus \{0\}, z \in U),$$

it is easy to verify that the functions h(z) and p(z) satisfy the hypotheses of Definition 3. If  $f \in \mathcal{W}^{h,p}_{\Sigma}(\gamma,\lambda,\alpha)$ , then  $f \in \Sigma$ ,

$$\left|\arg\left(1+\frac{1}{\gamma}\left[(1-\alpha+2\lambda)\frac{f(z)}{z}+(\alpha-2\lambda)f'(z)+\lambda zf''(z)-1\right]\right)\right|<\frac{\eta\pi}{2}\quad(z\in\mathbb{U}),$$

and

$$\left|\arg\left(1+\frac{1}{\gamma}\left[(1-\alpha+2\lambda)\frac{g(w)}{w}+(\alpha-2\lambda)g'(w)+\lambda wg''(w)-1\right]\right)\right|<\frac{\eta\pi}{2}\quad(w\in\mathbb{U}).$$

Therefore in this case we have the following items:

- 1. For  $\gamma = 1$ ,  $\alpha = 1 + 2\lambda$ , the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  reduce to class  $H_{\Sigma}(\eta,\lambda)$  in Definition 1.
- 2. For  $\gamma = 1$ ,  $\lambda = 0$ , the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  reduce to class  $B_{\Sigma}(\eta,\alpha)$  studied by Frasin and Aouf [7, Definition 2.1].
- 3. For  $\gamma = 1$ ,  $\lambda = 0$ ,  $\alpha = 1$ , the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma, \lambda, \alpha)$  reduce to class  $H_{\Sigma}^{\eta}$  which studied by Srivastava [12, Definition 1].

If we take

$$h(z) = p(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \quad (0 \le \beta < 1, \alpha \ge 0, \lambda \ge 0, \gamma \in \mathbb{C} \setminus \{0\}, z \in U),$$

then the functions h(z) and p(z) satisfy the hypotheses of Definition 3. If  $f \in \mathcal{W}^{h,p}_{\Sigma}(\gamma,\lambda,\alpha)$ , then

$$f \in \Sigma, \quad \mathfrak{Re}\left(1 + \frac{1}{\gamma}\left[(1 - \alpha + 2\lambda)\frac{f(z)}{z} + (\alpha - 2\lambda)f'(z) + \lambda z f''(z) - 1\right]\right) > \beta \quad (z \in \mathbb{U}),$$

and

$$\mathfrak{Re}\left(1+\frac{1}{\gamma}\left[(1-\alpha+2\lambda)\frac{g(w)}{w}+(\alpha-2\lambda)g'(w)+\lambda wg''(w)-1\right]\right) > \beta, \quad (w \in \mathbb{U}).$$

Therefore in this case we have the following items:

- 1. For  $\gamma = 1$  and  $\alpha = 1 + 2\lambda$ , the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  reduce to class  $H_{\Sigma}(\beta,\lambda)$  in Definition 2.
- 2. For  $\gamma = 1$  and  $\lambda = 0$ , the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma,\lambda,\alpha)$  reduce to class  $B_{\Sigma}(\beta,\alpha)$  studied by Frasin and Aouf [7, Definition 3.1].
- 3. For  $\gamma = 1$ ,  $\lambda = 0$  and  $\alpha = 1$ , the class  $\mathcal{W}_{\Sigma}^{h,p}(\gamma, \lambda, \alpha)$  reduce to class  $H_{\Sigma}(\beta)$  which studied by Srivastava [12, Definition 2].

### **3** Coefficient Estimates

Now, we obtain the estimates on the coefficients  $|a_2|$  and  $|a_3|$  for subclass  $\mathcal{W}^{h,p}_{\Sigma}(\gamma,\lambda,\alpha)$ .

THEOREM 3. Let f(z) given by (1) be in the class  $\mathcal{W}^{h,p}_{\Sigma}(\gamma,\lambda,\alpha)$ . Then

$$|a_2| \le \min\left\{\sqrt{\frac{|\gamma|^2(|h'|^2 + |p'|^2)}{2(1+\alpha)^2}}, \sqrt{\frac{|\gamma|(|h''(0)| + |p''(0)|)}{4(1+2\alpha+2\lambda)}}\right\}$$
(5)

and

$$|a_3| \le \min\left\{\frac{|\gamma|^2(|h'|^2 + |p'|^2)}{2(1+\alpha)^2} + \frac{|\gamma|(|h''(0)| + |p''(0)|)}{4(1+2\alpha+2\lambda)}, \frac{|\gamma||h''(0)|}{2(1+2\alpha+2\lambda)}\right\}.$$
 (6)

PROOF. First of all, we write the argument inequalities in (3) and (4) in their equivalent forms as follows

$$1 + \frac{1}{\gamma} \left[ (1 - \alpha + 2\lambda) \frac{f(z)}{z} + (\alpha - 2\lambda) f'(z) + \lambda z f''(z) - 1 \right] = h(z) \qquad (z \in \mathbb{U}), \quad (7)$$

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and

$$1 + \frac{1}{\gamma} \left[ (1 - \alpha + 2\lambda) \frac{g(w)}{w} + (\alpha - 2\lambda)g'(w) + \lambda w g''(w) - 1 \right] = p(w) \qquad (w \in \mathbb{U}), \quad (8)$$

respectively, where functions h and p satisfy the conditions of Definition 3. Also, the functions h and p have the following Taylor-Maclaurin series expansions

$$h(z) = 1 + h_1 z + h_2 z^2 + h_3 z^3 + \cdots,$$
(9)

and

$$p(w) = 1 + p_1 w + p_2 w^2 + p_3 w^3 + \cdots .$$
(10)

Now, upon substituting from (9) and (10) into (7) and (8), respectively, and equating the coefficients, we get

$$(1+\alpha)a_2 = \gamma h_1,\tag{11}$$

$$(1+2\alpha+2\lambda)a_3 = \gamma h_2,\tag{12}$$

$$-(1+\alpha)a_2 = \gamma p_1,\tag{13}$$

and

$$2(1+2\alpha+2\lambda)a_2^2 - (1+2\alpha+2\lambda)a_3 = \gamma p_2.$$
(14)

From (11) and (13), we get

$$h_1 = -p_1 \text{ and } 2(1+\alpha)^2 a_2^2 = \gamma^2 (h_1^2 + p_1^2).$$
 (15)

Adding (12) and (14), we get

$$2(1+2\alpha+2\lambda)a_2^2 = \gamma(p_2+h_2).$$
 (16)

Therefore, from (15) and (16), we have

$$a_2^2 = \frac{\gamma^2(h_1^2 + p_1^2)}{2(1+\alpha)^2}$$
 and  $a_2^2 = \frac{\gamma(p_2 + h_2)}{2(1+2\alpha+2\lambda)}$ , (17)

respectively. Therefore, we find from the equations (17), that

$$|a_2|^2 \le \frac{|\gamma|^2 (|h'|^2 + |p'|^2)}{2(1+\alpha)^2}$$
 and  $|a_2|^2 \le \frac{|\gamma|(|h''(0)| + |p''(0)|)}{4(1+2\alpha+2\lambda)}$ ,

respectively. So we get the desired estimate on the coefficient  $|a_2|$  as asserted in (5).

Next, in order to find the bound on the coefficient  $|a_3|$ , by subtracting (14) from (12), we get:

$$2(1+2\alpha+2\lambda)a_3 - 2(1+2\alpha+2\lambda)a_2^2 = \gamma(h_2 - p_2).$$
(18)

Upon substituting the value of  $a_2^2$  from (17) into (18), it follows that

$$a_3 = \frac{\gamma^2(h_1^2 + p_1^2)}{2(1+\alpha)^2} + \frac{\gamma(h_2 - p_2)}{2(1+2\alpha+2\lambda)}$$

Therefore, we get

$$|a_3| \le \frac{|\gamma|^2 (|h'|^2 + |p'|^2)}{2(1+\alpha)^2} + \frac{|\gamma|(|h''(0)| + |p''(0)|)}{4(1+2\alpha+2\lambda)}.$$
(19)

On the other hand, upon substituting the value of  $a_2^2$  from (17) into (18), it follows that

$$a_3 = \frac{\gamma(p_2 + h_2)}{2(1 + 2\alpha + 2\lambda)} + \frac{\gamma(h_2 - p_2)}{2(1 + 2\alpha + 2\lambda)} = \frac{\gamma h_2}{(1 + 2\alpha + 2\lambda)}.$$

Therefore, we get:

$$|a_3| \le \frac{|\gamma| |h''(0)|}{2(1+2\alpha+2\lambda)}.$$
(20)

So we obtain from (19) and (20) the desired estimate on the coefficient  $|a_3|$  as asserted in (6). This completes the proof.

### 4 Conclusions

If we take

$$h(z) = p(z) = \left(\frac{1+z}{1-z}\right)^{\eta} \qquad (0 < \eta \le 1, \ z \in \mathbb{U}),$$

in Theorem 3, we conclude the following result.

COROLLARY 1. Let the function f(z) given by (1) be in the class  $\mathcal{W}^{\eta}_{\Sigma}(\gamma, \lambda, \alpha)$ . Then

$$|a_2| \le \min\left\{\frac{2|\gamma|\eta}{\alpha+1}, \sqrt{\frac{2|\gamma|}{1+2\alpha+2\lambda}}\eta\right\},\$$

and

$$|a_3| \le \frac{2|\gamma|\eta^2}{1+2\alpha+2\lambda}.$$

By setting  $\gamma = 1$  and  $\alpha = 1 + 2\lambda$  in Corollary 1, we get the following corollary.

COROLLARY 2. Let the function f given by (1) be in the class  $H_{\Sigma}(\eta, \lambda)$ . Then

$$|a_2| \le \min\left\{\frac{\eta}{\lambda+1}, \sqrt{\frac{2}{3(2\lambda+1)}}\eta\right\}$$
 and  $|a_3| \le \frac{2\eta^2}{3(2\lambda+1)}$ .

REMARK 2. Corollary 2 is a refinement of Theorem 1.

If we set  $\lambda = 0$  and  $\gamma = 1$  in Corollary 1, then we have the following corollary.

COROLLARY 3. Let the function f given by (1) be in the class  $B_{\Sigma}(\eta, \alpha)$ . Then

$$|a_2| \le \min\left\{\frac{2\eta}{\alpha+1}, \sqrt{\frac{2}{2\alpha+1}}\eta\right\}$$
 and  $|a_3| \le \frac{2\eta^2}{2\alpha+1}$ 

REMARK 3. Corollary 3 provides an improvement of a result which obtained by Frasin and Aouf [7, Theorem 2.2].

If we take  $\alpha = 1$  in Corollary 3, we get

COROLLARY 4. Let the function f given by (1) be in the class  $H_{\Sigma}^{\eta}$ . Then

$$|a_2| \le \sqrt{\frac{2}{3}}\eta$$
 and  $|a_3| \le \frac{2}{3}\eta^2$ .

REMARK 4. Corollary 4 provides a refinement of a result which obtained by Srivastava [12, Theorem 1].

By setting

$$h(z) = p(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \qquad (0 \le \beta < 1, \ z \in \mathbb{U}),$$

in Theorem 3, we deduce the following result.

COROLLARY 5. Let the function f given by (1) be in the class  $\mathcal{W}_{\Sigma}(\gamma, \lambda, \alpha, \beta)$ . Then

$$|a_2| \le \min\left\{\frac{2|\gamma|(1-\beta)}{\alpha+1}, \sqrt{\frac{2|\gamma|(1-\beta)}{1+2\alpha+2\lambda}}\right\} \text{ and } |a_3| \le \frac{2|\gamma|(1-\beta)}{1+2\alpha+2\lambda}.$$

If we take  $\gamma = 1$  and  $\alpha = 1 + 2\lambda$  in Corollary 5, we get

COROLLARY 6. Let the function f given by (1) be in the class  $H_{\Sigma}(\beta, \lambda)$ . Then

$$|a_2| \le \min\left\{\frac{(1-\beta)}{\lambda+1}, \sqrt{\frac{2(1-\beta)}{3(2\lambda+1)}}\right\} \text{ and } |a_3| \le \frac{2(1-\beta)}{3(2\lambda+1)}.$$

REMARK 5. Corollary 6 is a refinement of Theorem 2.

If we set  $\lambda = 0$  and  $\gamma = 1$  in Corollary 5, then we have the following corollary.

COROLLARY 7. Let the function f given by (1) be in the class  $B_{\Sigma}(\beta, \alpha)$ . Then

$$|a_2| \le \min\left\{\frac{2(1-\beta)}{\alpha+1}, \sqrt{\frac{2(1-\beta)}{2\alpha+1}}\right\} \text{ and } |a_3| \le \frac{2(1-\beta)}{2\alpha+1}.$$

REMARK 6. Corollary 7 provides a refinement of a result which obtained by Frasin and Aouf [7, Theorem 3.2].

If we take  $\alpha = 1$  in Corollary 7, then we get

COROLLARY 8. Let the function f given by (1) be in the class  $H_{\Sigma}(\beta)$ . Then

$$|a_2| \le \begin{cases} \sqrt{\frac{2(1-\beta)}{3}} & \text{for } 0 \le \beta \le \frac{1}{3}, \\ (1-\beta), & \text{for } \frac{1}{3} \le \beta < 1, \end{cases} \text{ and } |a_3| \le \frac{2(1-\beta)}{3}.$$

REMARK 7. Corollary 8 provides an improvement of a result which obtained by Srivastava [12, Theorem 2].

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