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# Open Neighborhood Chromatic Number Of An Antiprism Graph<sup>\*</sup>

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#### Abstract

An open neighborhood k-coloring of a simple connected undirected graph G(V, E) is a k-coloring  $c : V \to \{1, 2, \dots, k\}$ , such that, for every  $w \in V$  and for all  $u, v \in N(w), c(u) \neq c(v)$ . The minimum value of k for which G admits an open neighborhood k-coloring is called the open neighborhood chromatic number of G denoted by  $\chi_{onc}(G)$ . In this paper, we obtain the open neighborhood chromatic number for a family of graphs called antiprism graphs.

#### 1 Introduction

All the graphs considered in this paper are simple, non-trivial, undirected, finite and connected. For standard terminologies, we refer [2] and [7]. A vertex coloring, or simply a coloring, of a graph G = (V, E) is an assignment of colors to the vertices of G. A k-coloring of G is a surjection  $c : V \to \{1, 2, \dots, k\}$ . A proper coloring of G is an assignment of colors to the vertices of G so that adjacent vertices are colored differently. A proper k-coloring of G is a surjection  $c : V \to \{1, 2, \dots, k\}$ . Such that  $c(u) \neq c(v)$  if u and v are adjacent in G. The minimum k for which there is a proper k-coloring of G is called the chromatic number of G denoted by  $\chi(G)$ .

As seen in Fig. 3, the Petersen graph [10] is an undirected graph with 10 vertices and 15 edges and serves as a useful example and counterexample for many problems in graph theory. It is a cubic symmetric graph and is non-planar. The chromatic number and the domination number of the Petersen graph are both equal to 3. The generalized Petersen graph  $GP(n,k), n \ge 3$  and k < n/2, is a graph consisting of an inner star polygon  $\{n,k\}$  and an outer regular polygon  $C_n$  with corresponding vertices in the inner and outer polygons connected with edges. The Petersen graph can be obtained from this graph by choosing n = 5 and k = 2.

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Figure 1: Tetrahedral graph

Figure 2: Octahedral graph

The graph obtained by replacing the faces of a polyhedron with its edges and vertices is called the *skeleton* [3] of the polyhedron. For example, the polyhedral graphs corresponding to the skeletons of tetrahedron and octahedron are illustrated in Fig. 1 and 2.

An *n*-antiprism [4],  $n \geq 3$ , is a semiregular polyhedron constructed with 2*n*-gons and 2*n* triangles. It is made up of two *n*-gons on top and bottom, separated by a ribbon of 2*n* triangles, with the two *n*-gons being offset by one ribbon segment. The graph corresponding to the skeleton of an *n*-antiprism is called the *n*-antiprism graph, denoted by  $Q_n$ ,  $n \geq 3$  as shown in Fig. 4. As seen from the figure,  $Q_n$  has 2*n* vertices and 4*n* edges, and is isomorphic to the circulant graph  $Ci_{2n}(1, 2)$ . In particular, the 3-antiprism graph  $Q_3$  is isomorphic to the octahedral graph in Fig. 2.

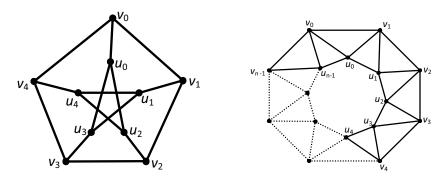


Figure 3: Petersen graph

Figure 4: *n*-antiprism graph

An open neighborhood coloring [5] of a graph G(V, E) is a coloring  $c: V \to Z^+$ , such that for each  $w \in V$  and  $\forall u, v \in N(w), c(u) \neq c(v)$ . An open neighborhood k-coloring of a graph G(V, E) is a k-coloring  $c: V \to \{1, 2, \dots, k\}$  which admits the conditions of an open neighborhood coloring. The minimum value of k for which G admits an open neighborhood k-coloring is called the open neighborhood chromatic number of G denoted by  $\chi_{onc}(G)$ .

In [5], we have established some bounds on the open neighborhood chromatic number of a graph. We have also obtained this parameter for an infinite triangular lattice. Further, in [6], we have determined the open neighborhood chromatic number of prism graph which is obtained from the generalized Petersen graph GP(n,k) by choosing k = 1 and  $n \geq 3$ . We recall some of the definitions and results on the open neighborhood chromatic number discussed in [5].

THEOREM 1.1. If f is an open neighborhood k-coloring of G(V, E) with  $\chi_{onc}(G) = k$ , then  $f(u) \neq f(v)$  holds where u, v are the end vertices of a path of length 2 in G.

THEOREM 1.2. For any graph G(V, E),  $\chi_{onc}(G) \ge \Delta(G)$ .

THEOREM 1.3. If H is a connected subgraph of G, then  $\chi_{onc}(H) \leq \chi_{onc}(G)$ .

THEOREM 1.4. The open neighborhood chromatic number of a connected graph G is 1 if and only if  $G \cong K_1$  or  $K_2$ .

THEOREM 1.5. Let G(V, E) be a connected graph on  $n \ge 3$  vertices. Then  $\chi_{onc}(G) = n$  if and only if  $N(u) \cap N(v) \neq \emptyset$  holds for every pair of vertices  $u, v \in V(G)$ .

THEOREM 1.6. For a path  $P_n$ ,  $n \ge 2$ ,

$$\chi_{onc}(P_n) = \begin{cases} 1 & \text{if } n = 2, \\ 2 & \text{if } n \ge 3. \end{cases}$$

THEOREM 1.7. For a cycle  $C_n$ ,  $n \ge 3$ ,

$$\chi_{onc}(C_n) = \begin{cases} 2 & \text{if } n \equiv 0 \pmod{4}, \\ 3 & \text{otherwise.} \end{cases}$$

DEFINITION 1.8. In a graph G, a subset  $V_1$  of V(G) such that no two vertices of  $V_1$  are end vertices of a path of length two in G is called a  $P_3$ -independent set of G.

In this paper, we obtain the open neighborhood chromatic number of the Petersen graph. Also we determine this number for the *n*-antiprism graph  $Q_n$ .

## 2 Open Neighborhood Chromatic Number of Petersen Graph

OBSERVATION 2.1. For any graph G of order n, if  $\chi_{onc}(G) = n$ , then  $diam(G) \leq 2$ .

PROOF. Consider a graph G with  $V(G) = \{v_1, v_2, \dots, v_n\}$  with  $\chi_{onc}(G) = n$ . Suppose  $diam(G) \geq 3$ . Without loss in generality, let  $d(v_1, v_2) \geq 3$ . We define a coloring  $c: V(G) \rightarrow \{1, 2, \dots, n-1\}$  as follows.

$$c(v_i) = \begin{cases} 1 & \text{if } i = 1 \text{ or } i = 2\\ i - 1 & \text{otherwise.} \end{cases}$$

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Clearly, c is an open neighborhood (n-1)-coloring of G so that  $\chi_{onc}(G) \leq n-1$ , a contradiction.

THEOREM 2.2. If G is any graph of order n,  $\chi_{onc}(G) = 2$  if and only if  $G \cong P_n$ ,  $n \ge 3$  or  $G \cong C_n$ ,  $n \equiv 0 \pmod{4}$ .

PROOF. Consider a graph G of order n. Suppose  $\chi_{onc}(G) = 2$ . By Theorem 1.2, we have  $\chi_{onc}(G) \ge \Delta(G)$  so that  $\Delta(G) \le 2$ . Thus, G is either a path or a cycle. However, by Theorem 1.7, we know that  $\chi_{onc}(C_n) = 2$  only when  $n \equiv 0 \pmod{4}$ . Also by Theorem 1.6,  $\chi_{onc}(P_n) = 2$  for any  $n \ge 3$ . Thus, if  $\chi_{onc}(G) = 2$ , then  $G \cong P_n, n \ge 3$  or  $G \cong C_n, n \equiv 0 \pmod{4}$ . The converse is a direct consequence of Theorem 1.6 and Theorem 1.7.

THEOREM 2.3. The open neighborhood chromatic number of the Petersen graph GP(5,2) is 5.

PROOF. Let u be any vertex of G = GP(n, 2). Then in any open neighborhood coloring  $c, c(u) \neq c(v)$  for any  $v \notin N(u)$  as every such vertex is connected by a path of length two from u. Further at most one vertex in N(u) can be given the same color as that of u since there is a path of length two between every  $v, w \in N(u)$ . Thus, one color can be given to at most two vertices in any open neighborhood coloring c of G so that  $\chi_{onc}(G) \geq 5$ . To prove the reverse inequality, consider a coloring  $c : V(G) \to \{1, 2, 3, 4, 5\}$  as

$$c(v) = \begin{cases} 1, & \text{if } v = v_0 \text{ or } v = v_4, \\ 2, & \text{if } v = v_1 \text{ or } v = v_2, \\ 3, & \text{if } v = u_3 \text{ or } v = v_3, \\ 4, & \text{if } v = u_0 \text{ or } v = u_2, \\ 5, & \text{otherwise.} \end{cases}$$

It is easy to verify that c is an open neighborhood 5-coloring of G so that  $\chi_{onc}(G) \leq 5$ . Hence,  $\chi_{onc}(G) = 5$ .

### 3 Open Neighborhood Chromatic Number of an Antiprism Graph

In this section, we determine the open neighborhood chromatic number of an n-antiprism graph  $Q_n$ .

OBSERVATION 3.1. Every integer  $n \ge 8$  with  $n \not\equiv 0 \pmod{5}$  can be expressed as n = 3k + 5m for some integers  $m \ge 0$  and  $k \ge 1$ .

LEMMA 3.2. For any integer  $n \ge 3$ ,  $\chi_{onc}(Q_n) \ge 5$ .

PROOF. For each  $n \ge 3$ ,  $Q_n$  contains a subgraph H as in Fig. 5. Further, in H, there is a path of length two between every pair of vertices so that  $\chi_{onc}(H) = 5$ . Hence by Theorem 1.3,  $\chi_{onc}(Q_n) \ge 5$ .

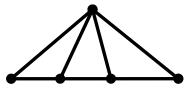


Figure 5: A subgraph of  $Q_n$ 

OBSERVATION 3.3. In the antiprism graph  $Q_n$ , the only vertices that are connected to a vertex  $u_i, 0 \le i \le n-1$  by a path of length two are  $u_{i\pm 1}, u_{i\pm 2}, v_i, v_{i\pm 1}, v_{i+2}$  where the suffix is under modulo n. Similarly, the vertices that are connected to a vertex  $v_i, 0 \le i \le n-1$  by a path of length two are  $u_i, u_{i\pm 1}, u_{i-2}, v_{i\pm 1}, v_{i\pm 2}$  where the suffix is under modulo n.

LEMMA 3.4. Let  $Q_n$  be an antiprism graph and let

$$S_k = \{u_i, v_j | i \equiv (k+2) \pmod{5} \text{ and } j \equiv k \pmod{5} \} \text{ for } 0 \le k \le 4.$$
 (1)

Then each  $S_k$  is a  $P_3$ - independent set if and only if  $n \equiv 0 \pmod{5}$ .

PROOF. Let  $n \equiv 0 \pmod{5}$ . We see that  $i \equiv (k+2) \pmod{5}$ . It follows that  $i+1 \equiv (k+3) \pmod{5}$ ,  $i-1 \equiv (k+1) \pmod{5}$ ,  $i+2 \equiv (k+4) \pmod{5}$  and  $i-2 \equiv k \pmod{5}$  so that  $u_{i\pm 1}, u_{i\pm 2}, v_i, v_{i\pm 1}, v_{i+2} \notin S_k$ . Also,  $j \equiv k \pmod{5}$  implies  $j+1 \equiv (k+1) \pmod{5}$ ,  $j-1 \equiv (k+4) \pmod{5}$ ,  $j+2 \equiv (k+2) \pmod{5}$  and  $j-2 \equiv (k+3) \pmod{5}$  so that  $u_j, u_{j\pm 1}, u_{j-2}, v_{j\pm 1}, v_{j\pm 2} \notin S_k$ . Hence, by Observation 3.3,  $S_k$  is a  $P_3$ - independent set for  $0 \leq k \leq 4$ . Next, we assume that  $S_k$  is a  $P_3$ - independent set and we prove the converse by contraposition.

**Case 1.** Suppose  $n \equiv 1 \pmod{5}$ . Then  $v_0, v_{n-1} \in S_0$ . But  $v_0$  and  $v_{n-1}$  are end vertices of a path of length 2 so that  $S_0$  is not a  $P_3$ - independent set.

**Case 2.** Suppose  $n \equiv 2 \pmod{5}$ . Then  $u_0, u_2 \in S_0$ . But  $u_0$  and  $u_2$  are end vertices of a path of length 2 so that  $S_0$  is not a  $P_3$ - independent set.

**Case 3.** Suppose  $n \equiv 3 \pmod{5}$ . Then  $u_{n-1}, v_0 \in S_0$ . But  $u_{n-1}$  and  $v_0$  are end vertices of a path of length 2 so that  $S_0$  is not a  $P_3$ - independent set

**Case 4.** Suppose  $n \equiv 4 \pmod{5}$ . Then  $u_{n-2}, v_0 \in S_0$ . But  $u_{n-2}$  and  $v_0$  are end vertices of a path of length 2 so that  $S_0$  is not a  $P_3$ - independent set.

So by Cases 1–4, we obtain  $n \equiv 0 \pmod{5}$ . Therefore, the proof of Lemma 3.4 is complete.

LEMMA 3.5. For any positive integer  $n \ge 5$ ,  $\chi_{onc}(Q_n) = 5$  if and only if  $n \equiv 0 \pmod{5}$ .

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PROOF. Consider an *n*-antiprism graph  $Q_n$  as in Fig. 4 such that  $n \equiv 0 \pmod{5}$ . By Lemma 1.1,  $\chi_{onc}(Q_n) \geq 5$ . We recall the set  $S_k$  defined by (1). By Lemma 3.4, each  $S_k$  is a  $P_3$ -independent set which implies that every vertex in any  $S_k$  can be given the same color in any open neighborhood coloring of G. Thus, the coloring  $c : V(Q_n) \to \{1, 2, 3, 4, 5\}$  defined by c(v) = k + 1 if  $v \in S_k$  for  $0 \leq k \leq 4$  is an open neighborhood 5-coloring of  $Q_n$  so that  $\chi_{onc}(Q_n) = 5$ .

We prove the converse by the method of contradiction. Let  $\chi_{onc}(Q_n) = 5$ . Suppose  $n \not\equiv 0 \pmod{5}$ . By Observation 3.3, each of the vertices  $v_0, v_1, v_2, u_0$  and  $u_1$  should be in different  $P_3$ -independent sets. Let  $S_0, S_1, S_2, S_3$  and  $S_4$  be mutually disjoint  $P_3$ -independent sets with  $v_0 \in S_0, v_1 \in S_1, v_2 \in S_2, u_0 \in S_3$  and  $u_1 \in S_4$ . Now,  $v_3$  cannot belong to any of the sets  $S_1, S_2$  or  $S_4$ . However, it may be in  $S_0, S_3$  or neither. Also,  $u_2$  cannot belong to any of the sets  $S_1, S_2, S_3$  or  $S_4$ . Based on this, we consider the following Cases 1–3.

**Case 1.** Suppose  $v_3 \in S_0$ . Then  $u_2$  cannot be in  $S_k$  for any  $0 \le k \le 4$  which means that  $u_2 \in S$ , a  $P_3$ -independent set different from the sets  $S_0$ ,  $S_1$ ,  $S_2$ ,  $S_3$  and  $S_4$ . Thus, at least six colors are needed to have an open neighborhood coloring of  $Q_n$ .

**Case 2.** Suppose  $v_3 \in S_3$ . Then  $u_2$  may or may not be in  $S_0$ .

**Subcase 2-1.** Assume that  $u_2 \notin S_0$ . Then,  $u_2$  is not in any of the sets  $S_k, 0 \leq k \leq 4$ . Thus as in Case 1, at least six colors are needed to have an open neighborhood coloring of  $Q_n$ .

**Subcase 2-2.** Assume that  $u_2 \in S_0$ . Then, we see that  $v_3 \in S_3$ ,  $u_3 \in S_1$  and so on. However, proceeding further in this manner, we get  $v \in S_0$  with v being one of  $v_{n-1}, v_{n-2}, u_{n-1}$  or  $u_{n-2}$  according as  $n \equiv 1 \pmod{5}$ ,  $n \equiv 2 \pmod{5}$ ,  $n \equiv 3 \pmod{5}$  or  $n \equiv 4 \pmod{5}$ . In such a case,  $S_0$  does not remain a  $P_3$ -independent set. To avoid this, we need to have  $v \in S$ , a  $P_3$ -independent set different from  $S_0, S_1, S_2, S_3$  and  $S_4$  so that at least six colors are needed to have an open neighborhood coloring of  $Q_n$ .

**Case 3.** Suppose  $v_3 \notin S_0$  or  $S_3$ . Then, as in Case 1, at least six colors are needed to have an open neighborhood coloring of  $Q_n$ .

THEOREM 3.6. Let  $Q_n$  be an antiprism graph. Then

$$\chi_{onc}(Q_n) = \begin{cases} 5 & \text{if } n \equiv 0 \pmod{5}, \\ 7 & \text{if } n = 7, \\ 8 & \text{if } n = 4, \\ 6 & \text{otherwise.} \end{cases} \text{ for } n \ge 3.$$

PROOF. We prove the theorem by taking cases for various values of n.

**Case 1.** Suppose n = 4. Then we have the 4-antiprism graph  $Q_4$  as in Fig. 6. Since each vertex is connected to every other vertex by a path of length 2, each vertex is to be colored by a different color in any open neighborhood coloring of  $Q_4$  so that  $\chi_{onc}(Q_4) = 8$ .

**Case 2.** Suppose  $n \ge 5$  with  $n \equiv 0 \pmod{5}$ . Then, by Lemma 3.5,  $\chi_{onc}(Q_n) = 5$ .

**Case 3.** Suppose n = 7, then we have the 7-antiprism graph  $Q_7$  as in Fig. 7. As seen from the figure, in any open neighborhood coloring  $c, c(v_0) \neq c(w)$  for any w with

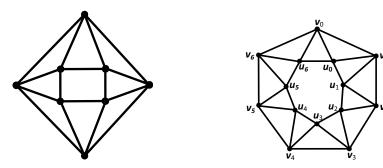


Figure 6: 4-antiprism graph  $Q_4$ 

Figure 7: 7-antiprism graph  $Q_7$ 

 $w = u_i, i = 0, 1, 5, 6$  or  $w = v_j$  with j = 1, 2, 5, 6. Further, at most one of the vertices  $u_2, u_3, u_4, v_3, v_4$  can be given the same color as that of  $v_0$ . Thus, in general, not more than two vertices in  $Q_7$  can be given the same color in any open neighborhood coloring of  $Q_7$ . This implies that  $\chi_{onc}(Q_7) \ge 7$ . To prove the reverse inequality, consider a coloring  $c: V(Q_7) \to \{1, 2, 3, 4, 5, 6, 7\}$  as follows.

$$c(v) = \begin{cases} 1 & \text{if } v = v_0 \text{ or } v = u_3, \\ 2 & \text{if } v = v_1 \text{ or } v = u_4, \\ 3 & \text{if } v = v_2 \text{ or } v = u_5, \\ 4 & \text{if } v = v_3 \text{ or } v = u_6, \\ 5 & \text{if } v = v_4 \text{ or } v = u_0, \\ 6 & \text{if } v = v_5 \text{ or } v = u_1, \\ 7 & \text{otherwise.} \end{cases}$$

It is easy to verify that c is an open neighborhood 7-coloring of  $Q_7$  so that  $\chi_{onc}(Q_7) \leq$ 7. Hence,  $\chi_{onc}(Q_7) = 7$ .

Case 4. Suppose n is any other integer, then we take up two subcases as follows.

**Subcase 4-1.** Suppose n = 3, we have the 3-antiprism graph  $Q_3$  as in Fig. 2. Since each vertex is connected to every other vertex by a path of length 2, each vertex is to be colored by a different color in any open neighborhood coloring of  $Q_3$  so that  $\chi_{onc}(Q_3) = 6$ .

**Subcase 4-2.** Suppose  $n \ge 8$ . Since  $n \ne 0 \pmod{5}$ , by Observation 3.1, n = 3k + 5m for some integers  $m \ge 0$  and  $k \ge 1$ . Also,  $\chi_{onc}(Q_n) \ge 6$  by Lemma 1.1 and Lemma 3.5.

To prove the reverse inequality, consider a coloring  $c: V(Q_n) \to \{1, 2, 3, 4, 5, 6\}$  as

$$c(v_i) = \begin{cases} 1, & \text{if } i \equiv 0 \pmod{3} \text{ and } 0 \leq i \leq 3k-1, \text{ or } i-3k \equiv (\bmod 5) \text{ and } 0 \leq 3k \leq 5m-1 \\ 2, & \text{if } i \equiv 1 \pmod{3} \text{ and } 0 \leq i \leq 3k-1, \text{ or } i-3k \equiv 1 \pmod{5} \text{ and } 0 \leq 3k \leq 5m-1 \\ 3, & \text{if } i \equiv 2 \pmod{3} \text{ and } 0 \leq i \leq 3k-1, \text{ or } i-3k \equiv 2 \pmod{5} \text{ and } 0 \leq 3k \leq 5m-1 \\ 4, & \text{if } i-3k \equiv 3 \pmod{5} \text{ and } 0 \leq 3k \leq 5m-1 \\ 5, & \text{otherwise.} \end{cases}$$

and

$$c(u_i) = \begin{cases} 1, & \text{if } i - 3k \equiv 2(\mod 5) \text{ and } 0 \le 3k \le 5m - 1 \\ 2, & \text{if } i - 3k \equiv 3(\mod 5) \text{ and } 0 \le 3k \le 5m - 1 \\ 3, & \text{if } i - 3k \equiv 4(\mod 5) \text{ and } 0 \le 3k \le 5m - 1 \\ 4, & \text{if } i \equiv 0(\mod 3) \text{ and } 0 \le i \le 3k - 1, \text{ or } i - 3k \equiv 0(\mod 5) \text{ and } 0 \le 3k \le 5m - 1 \\ 5, & \text{if } i \equiv 1(\mod 3) \text{ and } 0 \le i \le 3k - 1, \text{ or } i - 3k \equiv 1(\mod 5) \text{ and } 0 \le 3k \le 5m - 1 \\ 6, & \text{otherwise.} \end{cases}$$

It can be easily seen that c is an open neighborhood coloring of  $Q_n$  so that  $\chi_{onc}(Q_n) \leq 6$ . Hence  $\chi_{onc}(Q_n) = 6$ .

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