

# Face Labeling Of Maximal Planar Graphs\*

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Received 21 September 2009

## Abstract

The labeling problem considered in this paper is called face-labeling of the maximal planar or triangular planar graphs in connection with the notion of the *consistency*. Several triangular planar and maximal planar graphs such as the wheels, the fans etc. are considered.

## 1 Introduction

Graph labeling problems are quite different than the graph coloring problems [5] but the author has an unproved claim that every coloring problem can be expressed as an labeling problem.

In this paper, we will consider only consistency of the face labelings ( $f$ -labeling in short) and give several results on special triangular planar graphs such as the fans, wheels, triangular chains as well as maximal planar graphs. We will also consider the inconsistency of  $f$ -labeling for these graphs which eventually enable us to give an face-labeling algorithm for any maximal planar graph. According to the author this observation should be counted extraordinarily coincidental, partly because of his long struggle with the notorious graceful tree conjecture [15],[16]. In the next section, after giving some basic results for simple triangular graphs, in particular we investigate in detail the  $f$ -labeling of the fans, the wheels and triangular chains. The importance of these graphical structures comes from the fact that, they can be considered as the basic building blocks of the maximal planar graphs after the triangle. In Section 3 we consider a decomposition algorithm for the maximal planar graphs into fans and wheels. The last section deals with the consistency of  $f$ -labeling of maximal planar graphs based on the decompositions given in the previous section.

## 2 Basic Results

Let  $\Delta$  denote a triangle (a cycle of length three). Let 1, 2, 3, 4 be the possible labels corresponding to the different colors. Then by considering all possible vertex labelings

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\*Mathematics Subject Classifications: 05C10, 05C15, 05C78.

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of a triangle  $\Delta$  we get the following table:

Face Labels	$x_6 = 6$	$x_7 = 7$	$x_8 = 8$	$x_9 = 9$
Consistent labels	$\{1, 2, 3\}\Delta$	$(1, 2, 4)\Delta$	$\{1, 3, 4\}\Delta$	$(2, 3, 4)\Delta$
Inconsistent labels	$\{1, 1, 4\}\Delta$	$\{2, 2, 3\}\Delta$	$\{2, 2, 4\}\Delta$	$\{3, 3, 3\}\Delta$
Inconsistent labels	$\{2, 2, 2\}\Delta$	$\{1, 3, 3\}\Delta$	$\{2, 3, 3\}\Delta$	$\{1, 4, 4\}\Delta$

The first row in the table corresponds to the proper coloring of the triangle while the other rows are not. In notation, we will denote face labels by  $x_i, x_j, x_k, x_l$  and drop the symbols  $f$  and  $\Delta$  whenever the context permits and denote the induced vertex labels by  $y_i, y_j, y_k, y_l$ , where indices of  $x$ 's are the actual face labels 6, 7, 8, 9 and the indices of  $y$ 's are the actual vertex labels 1, 2, 3, 4.

DEFINITION 1. A labelling  $f : F \rightarrow \{6, 7, 8, 9\}_f$  of the faces of a maximal planar graph  $G$  is called *consistent* if for every triangle  $\Delta$  of  $G$  there exists induced vertex labelings in the form  $x_6 = \{1, 2, 3\}_v, x_7 = \{1, 2, 4\}_v, x_8 = \{1, 3, 4\}_v, x_9 = \{2, 3, 4\}_v$ . That is, if  $y_1, y_2, y_3$  are the vertices of a triangle  $\Delta$  then  $f\{y_1, y_2, y_3\}_\Delta = f_v(y_1) + f_v(y_2) + f_v(y_3) = 6, 7, 8, \text{ or } 9$ , where  $f(x_i) = f\{y_1, y_2, y_3\}$  is the face label of the triangle  $\Delta$ . Otherwise, the face labeling  $f$  is called *inconsistent*.

With the above notations for consistent face labelings of a triangle we can write:

$$\begin{aligned}
\{x_6\} \cap \{x_7\} &= \{y_1, y_2\} \\
\{x_6\} \cap \{x_8\} &= \{y_1, y_3\} \\
\{x_6\} \cap \{x_9\} &= \{y_2, y_3\} \\
\{x_7\} \cap \{x_8\} &= \{y_1, y_4\} \\
\{x_7\} \cap \{x_9\} &= \{y_2, y_4\} \\
\{x_8\} \cap \{x_9\} &= \{y_3, y_4\} \\
\{x_6\} \cap \{x_7\} \cap \{x_8\} &= \{y_1\} \\
\{x_6\} \cap \{x_7\} \cap \{x_9\} &= \{y_2\} \\
\{x_6\} \cap \{x_8\} \cap \{x_9\} &= \{y_3\} \\
\{x_7\} \cap \{x_8\} \cap \{x_9\} &= \{y_4\} \text{ and} \\
\{x_6\} \cap \{x_7\} \cap \{x_8\} \cap \{x_9\} &= \emptyset.
\end{aligned}$$

The last term is important since it indicates that no set of four triangles with a common vertex  $v$  has a consistent the face labeling if all possible face labels  $x_6, x_7, x_8, x_9$  have been assigned to the triangles. In Figure 1, we illustrate several consistent and in Figure 2 inconsistent face labelings of simple triangular graphs.

We begin with a simple lemma.

LEMMA 1. Let  $G_1$  and  $G_2$  be any two triangular planar graphs with consistent  $f$ -labelings  $f_1$  and  $f_2$ . Then  $G_1 \cup G_2$  has a consistent labeling  $f_1 \cup f_2$ .

PROOF. Assume that, the union of the two triangular graphs  $G_1$  and  $G_2$  are to be joined over a common edge  $e$ . But for any face labelings of two triangles we have  $x_i \cap x_j = \{y_p, y_q\}, i, j = 6, 7, 8, 9$  and  $p \neq q, p, q = 1, 2, 3, 4$ . Thus the union of two consistent triangular planar graphs in this way results in another consistent triangular graph with the face labeling  $f = f_1 \cup f_2$ .

It can be easily verified that if the common edges between the two overlapped triangular planar graphs is more than a single edge then the union of consistent face labelings may not result in another consistent face labeling.

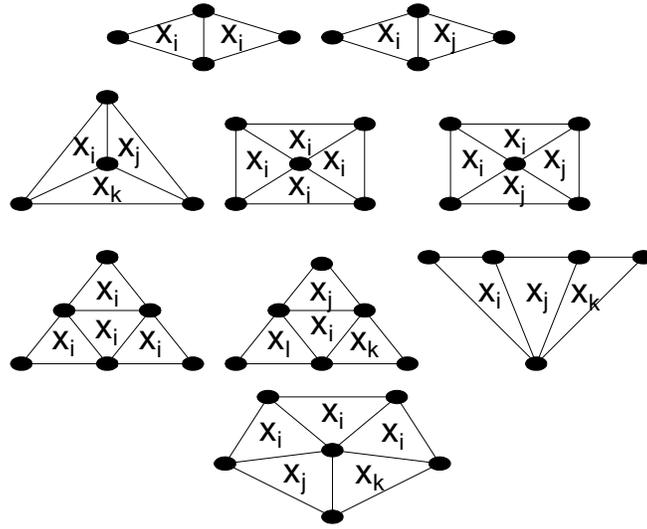


Figure 1: Consistent Face Labelings

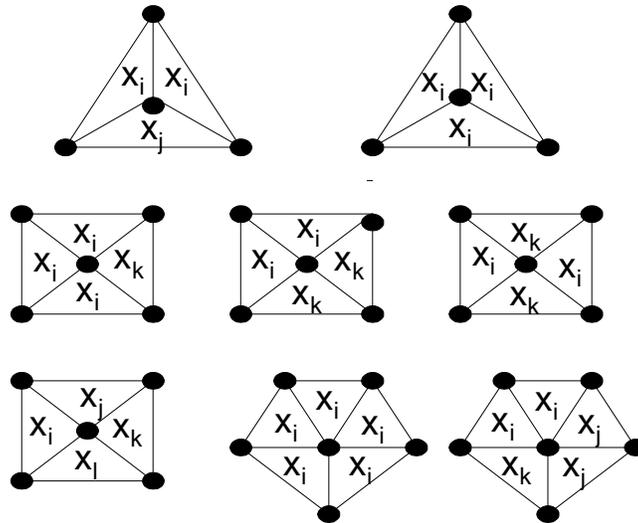


Figure 2: Inconsistent Face Labelings

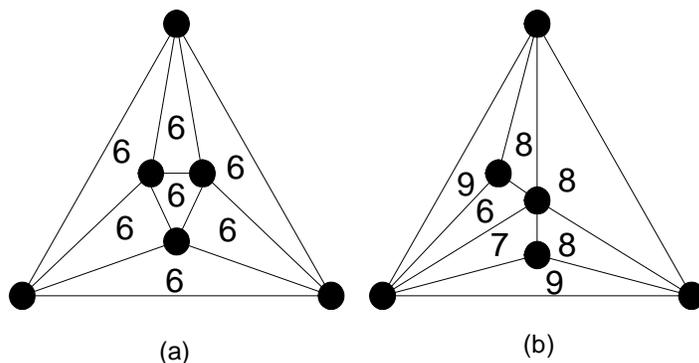


Figure 3: Pyramid Graphs

LEMMA 2. Any face labeling of the pyramid triangular graph with four triangles shown in Figure 3(a) is consistent.

PROOF. Let  $t_i$  be the inner triangle and  $t_a, t_b, t_c$  be the triangle neighbor  $t_i$  of the pyramid graph. Let us label  $t_i$  with  $x_i, i \in \{6, 7, 8, 9\}$ . The corner vertices not incident at  $t_i$  are free and any face label can be assigned to the triangles  $t_a, t_b$  and  $t_c$  due to the fact that  $x_i \cap x_j$  is consistent for any face labeling. Therefore the overall pyramid graph is consistent for any face labeling.

LEMMA 3. Consider any planar drawing of  $K_4$ . Then the only consistent  $f$ -labelings of  $K_4$  for which the facial faces are labelled by  $x_i, x_j, x_k, i \neq j \neq k, i, j, k \in \{6, 7, 8, 9\}$  and the outerface label is  $x_l = y_a + y_b + y_c$ , where  $y_a, y_b, y_c$  are the induced vertex labels of the outerboundary face.

PROOF. For any planar drawing of  $K_4$  there are exactly four faces including the outerface. Since each induced vertex label  $y_i, 1 \leq i \leq 4$  used for a vertex exactly once and any two triangles have exactly one edge in common there exists only one consistent  $f$ -labeling for which all face labels are different.

LEMMA 4. A planar triangular graph  $G$  is consistent under single face label iff it is 3-colorable.

PROOF. If  $G$  is 3-colorable then for each triangle we have the same induced vertex labels  $y_i, y_j, y_k, i \neq j \neq k$ . Now assume that  $G$  is not 3-colorable. By the four color theorem [1] there must be two triangles for which two vertex labels are different. Hence  $G$  is not consistent under single face label when it is four colorable.

We can ask whether any triangular graph can be labeled with less than four distinct face labels. The face labeling of the maximal planar graph shown in Figure 3(b) requires all four face labels to be used while for the maximal planar graph shown in Figure 3(a) a single face label (6 in the figure) is enough for a consistent face labeling.

The fan  $F_n$  ( $n \geq 2$ ) is obtained by joining all vertices of the path  $P_n$  to a further vertex called the center, and contains  $n + 1$  vertices and  $2n - 1$  edges. The wheel  $W_n$  ( $n \geq 3$ ) is obtained by joining all vertices of the cycle  $C_n$  to the center, and contains

$n + 1$  vertices and  $2n$  edges. The triangular path  $T_p$  is consisted of  $k$  triangles in the form of a path in which except the first and last triangles all other triangles pair wise share common edges. Similarly the triangular chain  $T_c$  can be defined by allowing the fans as subgraphs in the triangular path. In Figures 1 and 2 we illustrate consistent and inconsistent face labelings of the several simple triangular graphs.

**THEOREM 1.** The fan  $F_n$  (and the wheel  $W_n$ ) has an consistent  $f$ -labeling iff it does not contain a subsequences of the face labels in the forms

- (a) ( $i \neq j$ ),  $\dots x_i x_j x_j \dots x_j x_j x_i \dots$ , where the number of  $x_j$ 's is *odd*
- (b) ( $i \neq j \neq k$ ),  $\dots x_i x_j x_j \dots x_j x_j x_k \dots$ , where the number of  $x_j$ 's is *even* and
- (c) the complete set  $x_i, x_j, x_k, x_l, (i \neq j \neq k \neq l)$  is not assigned to the faces of the  $F_n$ .

**PROOF.** The proof is only given for the fans. For wheels similar lines follow.

Necessity of (c) is clear if we consider  $x_i \cap x_j \cap x_k \cap x_l = \emptyset$ . That is, let  $v$  be the vertex of  $F_n$  such that  $F_n - v$  is a path. Assume that face labels  $x_i, x_j, x_k, x_l$  have been assigned to the some faces of  $F_n$  and the labeling is consistent. W.l.o.g. let  $x_i = x_6 = \{y_1, y_2, y_3\}, x_j = x_7 = \{y_1, y_2, y_4\}, x_k = x_8 = \{y_1, y_3, y_4\}$  and  $x_l = x_9 = \{y_2, y_3, y_4\}$ . Since  $v$  is common for these triangles, for consistent face labeling mutual intersections of  $x$ 's should not be empty. Next consider the subsequence  $x_i x_j \dots x_j x_i$  as a partial face labeling of  $F_n$ . If we start with the face label of  $x_i$  then the induced vertex label of the vertex  $v$  is determined together with the vertex label, say  $y_i, 1 \leq i \leq 4$ , on the path that adjacent to the first triangle, say from the left,  $x_j$ . Hence the unlabeled vertex of that triangle is uniquely determined. In order to complete partial face labeling, the sequence consistently, the rightmost triangle must have the induced vertex label  $y_i$ . But this is only possible if the number of triangles between the first triangle  $x_i$  and the last triangle  $x_i$  is even. Now consider any subsequence of face labels of  $F_n$  in the form  $\dots x_i x_j x_j \dots x_j x_k \dots, (i \neq j \neq k)$  with even number of  $x_i$  face labels. Again for the consistency the common vertex  $v$  must receive the induced vertex label  $x_i \cap x_j \cap x_k = y_p, p \in \{1, 2, 3, 4\}$ . The remaining three vertex labels, say  $y_q, y_r, y_s$ , are pairwise elements of  $x_i, x_j$  and  $x_k$ . That is we may write  $x_i = \{y_p\} \cup \{y_q, y_r\}, x_j = \{y_p\} \cup \{y_q, y_s\}, x_k = \{y_p\} \cup \{y_r, y_s\}$ . On the other hand we have assumed that the path  $F_n - v$  has even number of edges. In order to have consistent face labeling we must have the following vertex labels on the vertices of this path:  $\dots y_r, y_q, y_s, y_q, \dots, y_q, y_s, y_r \dots$ . But this is only possible if the number  $y_q, y_s$  pairs is odd.

For sufficiency, we will show that any other face labeling results in consistent induced vertex labeling.

Let  $v$  be the central vertex and let  $P(x, y)$  be the path connecting degree two vertices  $x$  and  $y$  of  $F_n$ . All other vertices of  $P(x, y)$  are of degree three.

Let  $S = \{x_{i_1}, x_{i_2}, \dots, x_{i_k}\}$  be the sequence of face labels of  $F_n$  satisfying the conditions (a),(b) and (c). W.l.o.g. assume also that  $S$  is only consisted of  $x_6, x_7$  and  $x_8$ 's (condition (c)). Hence vertex label of  $v$  is  $f(v) = x_6 \cap x_7 \cap x_8 = 1$ . Let us first consider the first two face labels from the left side in  $S$ .

$$\begin{aligned} \{x_{i_1}, x_{i_2}\} = & \{\{x_6, x_6\}, \{x_6, x_7\}, \{x_6, x_8\}, \{x_7, x_6\}, \{x_7, x_7\}, \{x_7, x_8\}, \{x_8, x_8\}, \\ & \{x_8, x_7\}, \{x_8, x_6\}\} \end{aligned}$$

The induced vertex label sets for each face label pairs, respectively, are:

$$\begin{aligned} \{x_6, x_6\} &\Leftrightarrow \{y_2, y_3, y_2\} \text{ or } \{y_3, y_2, y_3\}, \{x_6, x_7\} \Leftrightarrow \{y_3, y_2, y_4\}, \{x_6, x_8\} \Leftrightarrow \{y_2, y_3, y_4\}, \\ \{x_7, x_6\} &\Leftrightarrow \{y_4, y_2, y_3\}, \{x_7, x_7\} \Leftrightarrow \{y_2, y_4, y_2\} \text{ or } \{y_4, y_2, y_4\}, \{x_7, x_8\} \Leftrightarrow \{y_2, y_4, y_3\} \\ \{x_8, x_6\} &\Leftrightarrow \{y_4, y_3, y_2\}, \{x_8, x_7\} \Leftrightarrow \{y_3, y_4, y_2\}, \{x_8, x_8\} \Leftrightarrow \{y_4, y_3, y_4\} \text{ or } \{y_3, y_4, y_3\}. \end{aligned}$$

Now we can augment the above pairs of face labels to the triples by adding new face label  $x_{i_3} \in \{x_6, x_7, x_8\}$  e.g., if  $x_{i_3} = x_6$  then except the second and the third (condition (a)) all other pairs augmented consistently into the triple face labels. Clearly we can obtain any consistent face labeling of  $F_n$  in this way.

LEMMA 5. Let  $W_n$  be the wheel graph with  $(n + 1)$  vertices. Then for any consistent  $f$ -labeling the maximum number of faces labeled by  $x_i$  is  $n$  if  $n = \text{even}$  and  $(n - 2)$  otherwise.

PROOF. If  $n$  is even we can assign any fixed face label  $x_i \in \{6, 7, 8, 9\}$  to all faces of the  $W_n$ . Assume that, we have selected  $x_i = 6$ . Then the induced vertex label for the central vertex of  $W_n$  can be any label from the set  $\{1, 2, 3\}$ . The other two vertex labels, say 2, 3, if 1 is assigned to the center, are alternately assigned to the spoke vertices in which no two adjacent labels are same. If  $n$  is odd we can still do the same thing as above except for the last two faces. We have to use face labels other than 6. Otherwise inconsistency have occurred. If we label these faces with different labels from  $\{7, 8, 9\}$ 's then by Theorem 1 we create an inconsistent subsequence in the form  $x_i x_j \dots x_j x_k$  with  $i \neq j \neq k$ , and odd number of  $x_i$ 's. Therefore we use any fixed label from  $\{7, 8, 9\}$  for the remaining last two unlabeled faces of  $W_n$ . Here if the three distinct face labels  $x_i, x_j, x_k$  are used in  $W_n$  then the central vertex of  $W_n$  must be labeled with  $x_i \cap x_j \cap x_k$ .

### 3 Face Labeling of Triangular Graphs

In order to show the difficulty of finding consistent  $f$ -labeling of a triangular graph, consider the triangular graph consisted of three complete subgraphs with four vertices ( $K_4$ ) which cyclically pairwise sharing common edges shown in Figure 4 together with a consistent face labeling. The subgraph induces by the faces labeled  $x_j, x_l, x_k$  is a wheel  $W_7$  (shown in bold lines in the figure). If we label the faces of  $W_7$  with all  $x_i$ 's we would obtain another consistent face labeling of  $W_7$  but cannot complete the rest of the graph consistently. Another difficulty is the possibility of creating inconsistent face label on a inner face which has not contained in any wheel or fan subgraphs. In order to detect situations like this beforehand, we need an algorithm to list triangles which completely depends on its boundary subgraphs and give priority to these triangles in the face labeling algorithm. Let us denote by  $N(v)$  the neighborhood of the vertex (excluding the vertex  $v$ ).

LEMMA 6. If  $G$  is a maximal planar graph then the neighborhood  $N(v)$  of any vertex  $v \in V(G)$  induces a wheel subgraph.

PROOF. Let us assume that there exists a vertex  $v \in V(G)$  for which  $N(v)$  is not induce an spanning cycle  $C$  of length  $|N(v)|$ . Let  $k = |N(v)| - 1$  and  $v_{k+1}$  is not contained in the spanning cycle  $C$ . The vertex  $v_{k+1}$  must adjacent to another vertex, say  $v_1 \in N(v)$ , other than  $v$ . Now we can embed the planar graph  $G$  so that the cycle

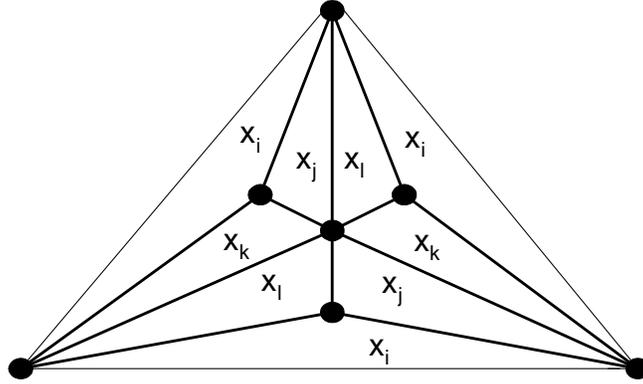


Figure 4: Consistent Labeling of a Maximal Planar Graph

$\{v_{k+1}, v, v_1, v_k\}$  without a chord becomes boundary of the outerface which contradicts to the maximality of  $G$ . Therefore  $(v_{k+1}, v_k) \in E(G)$  which shows  $|N(v)| = k$ .

Base on the existing proofs of the four color theorem we can safely state [4],[7]:

**THEOREM 2.** Every maximal planar graph has a consistent  $f$ -labeling.

In order to attempt to give a different proof to the above theorem, we need firstly a decomposition and secondly an  $f$ -labeling algorithms that assures the consistency of face labeling.

**DEFINITION 2.** Let  $D(G)$  be a decomposition of a maximal planar graph  $G$  into wheel subgraphs  $W_{i_1}, W_{i_2}, \dots, W_{i_k}, i_j > 3, j = 1, 2, \dots, k$  and into fan subgraphs  $F_{i_1}, F_{i_2}, \dots, F_{i_k}, i_j > 4, j = 1, 2, \dots, k$ . A triangle (face) of  $G$  is called a *black hole* if its edges contained in the three different wheel or fan subgraphs in  $D(G)$ .

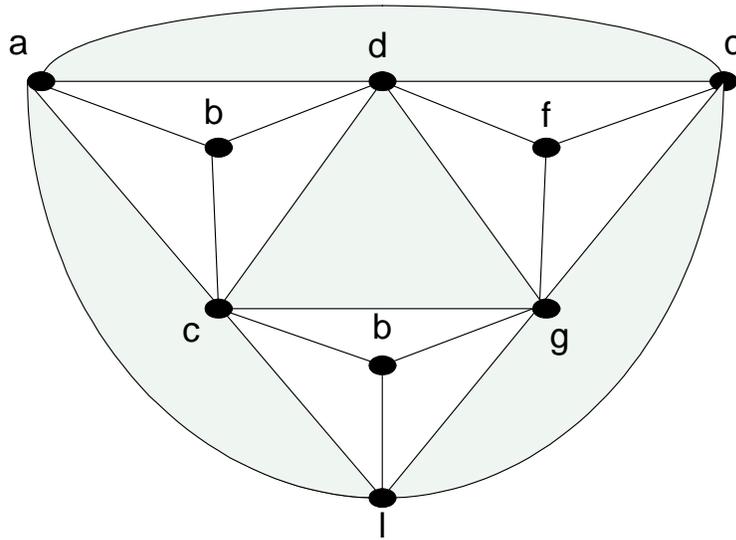
In Fig.5 we illustrate the notion of black holes. The cycles  $h_1 = \{c, d, g\}, h_2 = \{a, d, e\}, h_3 = \{e, g, l\}, h_4 = \{a, c, l\}$  are the black holes of the maximal planar graph since they have common edges with the wheel  $W_5(K_4)$  subgraphs induce by the vertex sets  $\{a, b, c, d\}, \{d, f, e, g\}, \{c, g, h, l\}$ .

Note that, the last three black holes have common edges with the exterior face of the graph, i.e.,  $\{a, e, l\}$ . The following is our *naive algorithm* for the consistent  $f$ -labeling of a maximal planar graph  $G$ . Assume that,  $G$  is drawn on the plane without crossing any edges.

- **Step 1.** Find the decomposition  $D(G)$  of  $G$  into the wheel (and fan) subgraphs.
- **Step 2.** Based on the decomposition  $D(G)$  list all black holes  $h_1, h_2, \dots, h_k$ .

By using Theorem 5 and avoiding the traps around the holes, label all other faces other than  $h_1, h_2, \dots, h_k$ .

The trap occurs at the hole  $h_i$  if the face labeled adjacent  $h_i$  creates inconsistent face label on the hole.

Figure 5: Black Holes of  $D(G)$ 

## 4 Conclusion

Saaty has listed some 29 equivalent formulations of the famous four color theorem [6],[14]. After so many formulations, it is quite strange that the existing solutions to this problem is almost unique in the sense of methodology, i.e., mainly on the nonexistence of a counterexample and in the technique used in the proof of the four color theorem, e.g., configurations and reducibility, discharging, and a coloring algorithm [1]-[4],[7],[8] (e.g., we may refer the historical developments for the proofs in [9],[10]-[13]). Even the solvers of this problem implicitly disclose their feelings about the dissatisfaction of forcibly the extensive use of a computer [8]. Note that this is not due to the use of a quadratic or quadratic coloring algorithms but it is the use of the computer for simply checking or verifications of the huge possibilities.

For example, let  $N = \{1, 2, 3, 4\}$  be the set of possible vertex labels. Consider the vertex labeling  $f : V \rightarrow \{1, 2, 3, 4\}$  of an planar graph  $G$  such that then the induced edge labels calculated as  $f(x) - f(y)$  we require no *zero* edge label i.e.,  $f(x) \neq f(y)$ , for all  $(x, y) \in E(G)$ . Clearly, this is exactly the same thing as the 4CP formulation, we simply used integers 1, 2, 3, 4 instead of the colors, say blue, red, green and yellow. It is also clear that this kind of graph labeling would not give further possibilities to investigate the four-color problem since the knowledge remain local. That is the rule of proper coloring of two adjacent vertices remain same by assigning to different vertex labels. The graph theoretical version of the 4CT:

**THEOREM 3.** The vertices of every maximal planar graph with at least four vertices can be colored with at most four colors.

The key word in the above statement is the word “maximal” that enable us to give

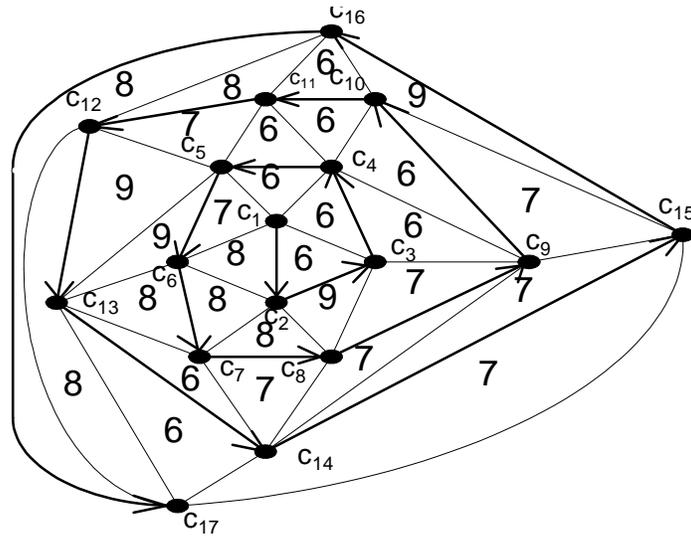


Figure 6: Consistent Labeling of a Triangulation [14]

quite different labeling equivalent of the four color problem. Maximal planar graphs are such that addition of any other edges results in a nonplanar graph. The number of the edges in any maximal planar graph with  $n$  vertices is  $3n - 6$ . Furthermore the (facial) faces (including the infinite outerface) are all triangles e.g., cycles of length three, where a facial triangle is a triangle in  $G$  which is the boundary of some face of  $G$ . Another motivation to the face labeling considered is due to the simple proof of the following:

**THEOREM 4.** The faces of every maximal planar graph are three colorable except the complete graph on four vertices.

The proof of this theorem is that, the dual of a maximal planar graph is 3-regular. By Brooks' Theorem, it is 3-colorable if it is not a clique<sup>1</sup>. Again if we assume the truth value of the above theorem *a priori* [1]-[4],[7],[8],[14] there is nothing to give and nothing to prove, since all maximal planar graphs have at least one consistent face labeling. However, our hope, is to open an avenue for a possible proof of the well-known four color theorem without using a computer. We have not yet attempted to the algorithmic solution of the four color problem but the formulation of the problem in terms of face labeling enable us to learn global property of the coloring of maximal planar graphs. That is, it is equally important to know under what conditions the subgraphs of the maximal planar graph would not have consistent face labeling. We conjectured, that the notion of face labeling is sufficient to give a proof of theorem (4CT) without using a computer.

In Figure 6, we have selected the triangulation given by R. Thomas in [14] together with the  $f$ -labeling. The  $f$ -labeling is based on consistent face labeling of every pairs

<sup>1</sup>The proof has been provided by Douglas West in response to the question via the GRAPHNET.



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