

## Global Attraction In A Rational Recursive Relation\*

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### Abstract

A real sequence  $\{y_i\}_{i=-m}^{\infty}$  defined by any  $y_{-m}, y_{-m+1}, \dots, y_{-1} \in (0, \infty)$  and the rational recursive relation (1) will converge to 1.

In [1], a question is raised as to whether a real sequence  $\{y_i\}_{i=-m}^{\infty}$  that satisfies (any)  $y_{-m}, y_{-m+1}, \dots, y_{-1} \in (0, \infty)$  and

$$y_n = \frac{y_{n-k}y_{n-l}y_{n-m} + y_{n-k} + y_{n-l} + y_{n-m}}{y_{n-k}y_{n-l} + y_{n-k}y_{n-m} + y_{n-l}y_{n-m} + 1}, \quad n \in N_0 = \{0, 1, 2, \dots\}, \quad (1)$$

where  $k, l, m$  are positive integers such that  $1 \leq k < l < m$ , will converge to 1. Two different affirmative proofs are given in [2] and [3]. In this note, we offer another simple proof based on analysis of properties of subsequences of solutions of (1) (see e.g. [4] for another demonstration of such a technique). Since no unifying theory is available for rational recursive relations yet, such an addition may be of interest in future developments.

Consider a slightly more general rational recursive relation

$$y_n = \frac{y_{n-k}y_{n-l}y_{n-m} + y_{n-k} + y_{n-l} + y_{n-m} + a}{y_{n-k}y_{n-l} + y_{n-k}y_{n-m} + y_{n-l}y_{n-m} + 1 + a}, \quad n \in N_0 \quad (2)$$

where  $a \geq 0$  and  $k, l, m$  are positive integers such that  $1 \leq k < l < m$ . Given  $y_{-m}, y_{-m+1}, \dots, y_{-1} \in (0, \infty)$ , we may calculate  $y_0, y_1, \dots$  from (2) in a unique manner. The resulting positive sequence  $\{y_n\}_{n=-m}^{\infty}$  will be called a solution of (2). For instance, the constant sequence  $\bar{y} = \{1\}_{n=-m}^{\infty}$  is a solution (which is easily seen to be the unique positive equilibrium solution of (2)). Given a solution  $\{y_n\}_{n=-m}^{\infty}$ , the (positive) subsequences  $\{y_{tm+i}\}_{t=-1}^{\infty}$ ,  $i = 0, 1, \dots, m-1$ , will be denoted by  $\Psi^{(i)}$ ,  $i = 0, 1, \dots, m-1$ , respectively.

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We first make the observation that if  $\Psi_{t-1}^{(0)} = 1$  for some  $t \geq 0$ , then  $\Psi_s^{(0)} = 1$  for  $s \geq t$ . Indeed,

$$\begin{aligned} \Psi_t^{(0)} &= \frac{y_{tm-k}y_{tm-l}\Psi_{t-1}^{(0)} + y_{tm-k} + y_{tm-l} + \Psi_{t-1}^{(0)} + a}{y_{tm-k}y_{tm-l} + y_{tm-k}\Psi_{t-1}^{(0)} + y_{tm-l}\Psi_{t-1}^{(0)} + 1 + a} \\ &= \frac{y_{tm-k}y_{tm-l} + y_{tm-k} + y_{tm-l} + 1 + a}{y_{tm-k}y_{tm-l} + y_{tm-k} + y_{tm-l} + 1 + a} \\ &= 1, \end{aligned}$$

and by induction,  $\Psi_s^{(0)} = 1$  for  $s \geq t + 1$ . The same reason is valid for the other sequences  $\Psi^{(i)}$ .

LEMMA 1. For each  $i \in \{0, \dots, m - 1\}$ , if  $\Psi_{t-1}^{(i)} = 1$  for some  $t \geq 0$ , then  $\Psi_n^{(i)} = 1$  for  $n \geq t$ .

Before stating the next result, recall that two real sequences  $\{a_n\}$  and  $\{b_n\}$  are said to be asymptotically equal if  $a_n = b_n$  for all large  $n$ .

LEMMA 2. Let  $\{y_n\}_{n=-m}^\infty$  be a solution of (2) and  $\delta = \min \{y_{-m}, y_{-m+1}, \dots, y_{-1}\}$ . Then, for any  $\varepsilon \in (0, \delta)$ , there exist positive integers  $M_0, \dots, M_{m-1}$  and positive numbers  $\Phi_0, \dots, \Phi_{m-1}$  such that

$$n > M_i \Rightarrow \left| \Psi_n^{(i)} - \Phi_i \right| < \varepsilon \text{ or } \left| \Psi_n^{(i)} - \frac{1}{\Phi_i} \right| < \varepsilon \tag{3}$$

for  $i = 0, \dots, m - 1$ .

PROOF. We will assume that  $i = 0$ , since the other cases are similar. If  $\Psi^{(0)}$  is asymptotically equal to  $\{1\}$ , then our assertion is true. Suppose  $\Psi^{(0)}$  is not asymptotically equal to  $\{1\}$ . Then by Lemma 1, the sequence  $\Psi_n^{(0)} \neq 1$  for all large  $n$ . Hence the sequence  $\Psi^{(0)} - \bar{y}$  is either eventually positive, or eventually negative, or oscillatory (i.e. neither eventually positive nor eventually negative). In the first case, we may assume without loss of generality that  $\Psi_t^{(0)} > 1$  for all  $t \geq -1$ . A direct calculation then shows that

$$y_n - y_{n-m} = \frac{(1 - y_{n-m})[(1 + y_{n-m})(y_{n-k} + y_{n-l}) + a]}{y_{n-k}y_{n-l} + y_{n-k}y_{n-m} + y_{n-l}y_{n-m} + 1 + a}, \quad n \geq 0,$$

from which we get

$$(y_n - y_{n-m})(y_{n-m} - 1) < 0, \quad n \geq 0. \tag{4}$$

Hence

$$\Psi_{-1}^{(0)} > \Psi_0^{(0)} > \Psi_1^{(0)} > \dots > 1,$$

which shows that  $\Psi^{(0)}$  is a decreasing sequence bounded below by 1. If we take  $\Phi_0 = \lim_{t \rightarrow \infty} \Psi_t^{(0)}$ , then (3) is true for  $i = 0$ .

Similarly, we may show that in the second case,  $\Psi^{(0)}$  is an (eventually) increasing sequence bounded above by 1 and (3) is true by taking  $\Phi_0 = \lim_{t \rightarrow \infty} \Psi_t^{(0)}$ .

In the case where  $\Psi^{(0)} - \bar{y}$  is oscillatory, we may assume without loss of generality that  $\Psi_{-1}^{(0)} > 1$ . Then we may build an integer sequence  $\{s_1, s_2, s_3, \dots\}$  where  $s_1$  denotes the number of first consecutive positive terms of  $\Psi^{(0)} - \bar{y}$ ,  $s_2$  is number of first

consecutive negative terms of  $\Psi^{(0)} - \bar{y}$ , etc. In view of (4), we may then see that

$$\Psi_{-1}^{(0)} > \Psi_0^{(0)} > \dots > \Psi_{s_1-2}^{(0)} > 1, \quad (5)$$

$$\Psi_{s_1-1}^{(0)} < \Psi_{s_1}^{(0)} < \dots < \Psi_{s_1+s_2-2}^{(0)} < 1, \quad (6)$$

and inductively,

$$\Psi_{s_1+s_2+\dots+s_p-1}^{(0)} > \Psi_{s_1+s_2+\dots+s_p}^{(0)} > \dots > \Psi_{s_1+s_2+\dots+s_p+s_{p+1}-2}^{(0)} > 1,$$

$$\Psi_{s_1+s_2+\dots+s_{p+1}-1}^{(0)} < \Psi_{s_1+s_2+\dots+s_{p+1}}^{(0)} < \dots < \Psi_{s_1+s_2+\dots+s_{p+2}-2}^{(0)} < 1,$$

for  $p \geq 1$ . In view of (2) and (5),

$$\begin{aligned} & \Psi_{s_1-1}^{(0)} \\ = & \frac{y_{-m+s_1m-k}y_{-m+s_1m-l}\Psi_{s_1-2}^{(0)} + y_{-m+s_1m-k} + y_{-m+s_1m-l} + \Psi_{s_1-2}^{(0)} + a}{y_{-m+s_1m-k}y_{-m+s_1m-l} + y_{-m+s_1m-k}\Psi_{s_1-2}^{(0)} + y_{-m+s_1m-l}\Psi_{s_1-2}^{(0)} + 1 + a} \\ > & \frac{y_{-m+s_1m-k}y_{-m+s_1m-l}\Psi_{s_1-2}^{(0)} + y_{-m+s_1m-k} + y_{-m+s_1m-l} + \Psi_{s_1-2}^{(0)} + a}{\Psi_{s_1-2}^{(0)} \left( y_{-m+s_1m-k}y_{-m+s_1m-l}\Psi_{s_1-2}^{(0)} + y_{-m+s_1m-k} + y_{-m+s_1m-l} + \Psi_{s_1-2}^{(0)} + a \right)} \\ = & \frac{1}{\Psi_{s_1-2}^{(0)}}. \end{aligned} \quad (7)$$

Similarly, by (2) and (6),

$$\Psi_{s_1+s_2-1}^{(0)} < \frac{1}{\Psi_{s_1+s_2-2}^{(0)}}. \quad (8)$$

By induction, we may then show that

$$\Psi_{s_1+s_2+\dots+s_{2q+1}-1}^{(0)} \Psi_{s_1+s_2+\dots+s_{2q+1}-2}^{(0)} > 1,$$

and

$$\Psi_{s_1+s_2+\dots+s_{2q+2}-1}^{(0)} \Psi_{s_1+s_2+\dots+s_{2q+2}-2}^{(0)} < 1,$$

for  $q \geq 0$ . As a consequence,

$$\begin{aligned} \Psi_{-1}^{(0)} &> \Psi_0^{(0)} > \dots > \Psi_{s_1-2}^{(0)} \\ &> \frac{1}{\Psi_{s_1-1}^{(0)}} > \frac{1}{\Psi_{s_1}^{(0)}} > \dots > \frac{1}{\Psi_{s_1+s_2-2}^{(0)}} \\ &> \dots \\ &> \Psi_{s_1+s_2+\dots+s_{2r}-1}^{(0)} > \Psi_{s_1+s_2+\dots+s_{2r}}^{(0)} > \dots > \Psi_{s_1+s_2+\dots+s_{2r+1}-2}^{(0)} \\ &> \frac{1}{\Psi_{s_1+s_2+\dots+s_{2r+1}-1}^{(0)}} > \frac{1}{\Psi_{s_1+s_2+\dots+s_{2r+1}}^{(0)}} > \dots > \frac{1}{\Psi_{s_1+s_2+\dots+s_{2r+2}-2}^{(0)}} \\ &> \dots \end{aligned}$$

which shows that

$$\Psi_{s_1+s_2+\dots+s_{2r}-1}^{(0)} > \frac{1}{\Psi_{s_1+s_2+\dots+s_{2r+1}-1}^{(0)}} > \Psi_{s_1+s_2+\dots+s_{2r+2}-1}^{(0)} \quad (9)$$

for  $r \geq 1$  and that  $\Psi^{(0)}$ , when restricted to the positive support of  $\Psi^{(0)} - \bar{y}$  is decreasing and bounded below by 1, and when restricted to the negative support of  $\Psi^{(0)} - \bar{y}$  is increasing and bounded above by 1. Let  $\Phi'_0$  and  $\Phi''_0$  be the limit of  $\Psi^{(0)}$  restricted to the positive and respectively the negative support of  $\Psi^{(0)} - \bar{y}$ . Then in view of (9), we see by taking limits that  $\Phi'_0 \geq \frac{1}{\Phi''_0} \geq \Phi'_0$ , which shows  $\Phi'_0 = \frac{1}{\Phi''_0}$  as required. The proof is complete.

LEMMA 3. Let  $\{y_n\}_{n=-m}^\infty$  be a solution of Eq. (2). Then,  $\{y_n\}_{n=-m}^\infty$  converges to 1.

PROOF. It suffices to show that for each  $i \in \{0, 1, \dots, m-1\}$ ,  $\Psi^{(i)}$  converges to 1. We will prove that  $\Psi^{(0)}$  tends to 1, since the other  $\Psi^{(i)}$  can be shown to converge to 1 in similar manners. By Lemma 2, for any  $\varepsilon \in (0, \delta)$ , where  $\delta = \min\{y_{-m}, y_{-m+1}, \dots, y_{-1}\}$ , there are positive integers  $M_0, \dots, M_{m-1}$  and positive numbers  $\Phi_0, \dots, \Phi_{m-1}$  such that for  $n > \{M_0, M_1, \dots, M_{m-1}\} + m$ , the following statements hold:

$$\left| \Psi_{n-1}^{(0)} - \Phi_0 \right| < \varepsilon \text{ or } \left| \Psi_{n-1}^{(0)} - \frac{1}{\Phi_0} \right| < \varepsilon,$$

$$\left| \Psi_n^{(0)} - \Phi_0 \right| < \varepsilon \text{ or } \left| \Psi_n^{(0)} - \frac{1}{\Phi_0} \right| < \varepsilon,$$

$$\left| \Psi_{n-1}^{(m-k)} - \Phi_{m-k} \right| = |y_{nm-k} - \Phi_{m-k}| < \varepsilon \text{ or } \left| \Psi_{n-1}^{(m-k)} - \frac{1}{\Phi_{m-k}} \right| < \varepsilon,$$

and

$$\left| \Psi_{n-1}^{(m-l)} - \Phi_{m-l} \right| < \varepsilon \text{ or } \left| \Psi_{n-1}^{(m-l)} - \frac{1}{\Phi_{m-l}} \right| < \varepsilon.$$

Consider the case where  $\left| \Psi_{n-1}^{(0)} - \Phi_0 \right| < \varepsilon$ ,  $\left| \Psi_n^{(0)} - \Phi_0 \right| < \varepsilon$ ,  $\left| \Psi_{n-1}^{(m-k)} - \Phi_{m-k} \right| < \varepsilon$  and  $\left| \Psi_{n-1}^{(m-l)} - \Phi_{m-l} \right| < \varepsilon$  hold. Then in view of (2),

$$\Psi_n^{(0)} = \frac{\Psi_{n-1}^{(m-k)} \Psi_{n-1}^{(m-l)} \Psi_{n-1}^{(0)} + \Psi_{n-1}^{(m-k)} + \Psi_{n-1}^{(m-l)} + \Psi_{n-1}^{(0)} + a}{\Psi_{n-1}^{(m-k)} \Psi_{n-1}^{(m-l)} + \Psi_{n-1}^{(m-k)} \Psi_{n-1}^{(0)} + \Psi_{n-1}^{(m-l)} \Psi_n^{(0)} + 1 + a}$$

so that

$$\begin{aligned} & \Phi_0 - \varepsilon \\ < & \Psi_n^{(0)} \\ < & \frac{(\Phi_{m-k} + \varepsilon)(\Phi_{m-l} + \varepsilon)(\Phi_0 + \varepsilon) + (\Phi_{m-k} + \varepsilon) + (\Phi_{m-l} + \varepsilon) + (\Phi_0 + \varepsilon) + a}{(\Phi_{m-k} - \varepsilon)(\Phi_{m-l} - \varepsilon) + (\Phi_{m-k} - \varepsilon)(\Phi_0 - \varepsilon) + (\Phi_{m-l} - \varepsilon)(\Phi_0 - \varepsilon) + 1 + a} \end{aligned}$$

and

$$\begin{aligned}
 & \Phi_0 + \varepsilon \\
 > & \Psi_n^{(0)} \\
 > & \frac{(\Phi_{m-k} - \varepsilon)(\Phi_{m-l} - \varepsilon)(\Phi_0 - \varepsilon) + (\Phi_{m-k} - \varepsilon) + (\Phi_{m-l} - \varepsilon) + (\Phi_0 - \varepsilon) + a}{(\Phi_{m-k} + \varepsilon)(\Phi_{m-l} + \varepsilon) + (\Phi_{m-k} + \varepsilon)(\Phi_0 + \varepsilon) + (\Phi_{m-l} + \varepsilon)(\Phi_0 + \varepsilon) + 1 + a}.
 \end{aligned}$$

By taking limits as  $\varepsilon \rightarrow 0$  on both sides of the above two chain of inequalities, we see that

$$\Phi_0 = \frac{\Phi_{m-k}\Phi_{m-l}\Phi_0 + \Phi_{m-k} + \Phi_{m-l} + \Phi_0 + a}{\Phi_{m-k}\Phi_{m-l} + \Phi_{m-k}\Phi_0 + \Phi_{m-l}\Phi_0 + 1 + a}$$

or

$$(\Phi_0 - 1) \{(\Phi_0 + 1)(\Phi_{m-k} + \Phi_{m-l}) + a\} = 0.$$

Since  $\Phi_0, \Phi_{m-k}, \Phi_{m-l}, a > 0$ , we see that  $\Phi_0 = 1$ . The other cases can be handled in similar manners to yield  $\Phi_0 = 1$ . The proof is complete.

Lemma 3 can be rephrased as follows:

**THEOREM 1.** A real sequence  $\{y_i\}_{i=-m}^{\infty}$  defined by any  $y_{-m}, y_{-m+1}, \dots, y_{-1} \in (0, \infty)$  and the rational recursive relation (2) will converge to 1.

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